

PREPARATORY INSPECTION CHECKLIST

CONTRACT NO. _____ DATE: _____

TITLE: _____ SPECS. SECTION: _____

MAJOR DEFINABLE SEGMENT OF WORK: _____

A. PERSONNEL PRESENT:

	<u>NAME</u>	<u>POSITION</u>	<u>COMPANY</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____
6.	_____	_____	_____
7.	_____	_____	_____
8.	_____	_____	_____
9.	_____	_____	_____
10.	_____	_____	_____

B. REVIEW OF PLANS AND SPECIFICATIONS

B-I. IDENTIFY EACH SPECIFICATION SECTION THAT WAS REVIEWED AT THIS PREPARATORY INSPECTION.

1. _____
2. _____
3. _____
4. _____
5. _____

B-II. IDENTIFY EACH CONSTRUCTION PLAN DETAIL OR SHEET THAT WAS REVIEWED AT THIS PREPARATORY INSPECTION.

1. _____
2. _____
3. _____
4. _____
5. _____

C. TRANSMITTAL INVOLVED

	<u>NUMBER & ITEM</u>	<u>CODE</u>	<u>CONTRACTOR OR GOVERNMENT APPROVAL</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____
6.	_____	_____	_____

C-I. HAVE ALL ITEMS INVOLVED BEEN APPROVED? YES _____ NO _____

C-II. WHAT ITEMS HAVE NOT BEEN APPROVED?

<u>ITEM</u>	<u>STATUS</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

D. ARE ALL MATERIALS ON HAND? YES _____ NO _____

D-I. ARE ALL MATERIALS ON HAND IN ACCORDANCE WITH APPROVALS? YES _____ NO _____

D-II. ITEMS NOT ON HAND OR NOT IN ACCORDANCE WITH TRANSMITTALS:

1. _____
2. _____
3. _____
4. _____

D. TESTS REQUIRED IN ACCORDANCE WITH CONTRACT REQUIREMENTS:

<u>TEST</u>	<u>PARAGRAPH</u>
1. _____	_____
2. _____	_____
3. _____	_____

E. ACCIDENT PREVENTION PREPLANNING – HAZARD CONTROL MEASURES:

E-I. APPLICABLE OUTLINES (ATTACH COMPLETED COPIES):

1. _____
2. _____
3. _____
4. _____
5. _____
6. _____

E-II. OPERATIONAL EQUIPMENT CHECKLISTS

ATTACHED FOR

1. _____
2. _____
3. _____

ON FILE FOR

1. _____
2. _____
3. _____

QUALITY CONTROL – PRIME CONTRACTOR

INITIAL INSPECTION CHECKLIST

CONTRACT NO: _____ DATE: _____

DESCRIPTION AND LOCATION OF WORK INSPECTED: _____

SPECS SECTION: _____ REFERENCE CONTRACT DRAWINGS: _____

A. PERSONNEL PRESENT:

	<u>NAME</u>	<u>POSITION</u>	<u>COMPANY</u>
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____
6.	_____	_____	_____
7.	_____	_____	_____
8.	_____	_____	_____
9.	_____	_____	_____
10.	_____	_____	_____

B. MATERIALS BEING USED ARE IN STRICT COMPLIANCE WITH THE CONTRACT PLANS AND SPECIFICATIONS:

YES _____ NO _____

IF NOT, EXPLAIN: _____

C. PROCEDURES AND/OR WORK METHODS WITNESSED ARE IN STRICT COMPLIANCE WITH THE REQUIREMENTS OF THE CONTRACT SPECIFICATIONS: YES _____ NO _____

IF NOT, EXPLAIN: _____

D. WORKMANSHIP IS ACCEPTABLE: YES _____ NO _____

STATE AREAS WHERE IMPROVEMENT IS NEEDED: _____

E. SAFETY VIOLATIONS AND CORRECTIVE ACTION TAKEN: _____

QUALITY CONTROL REPRESENTATIVE

CONTRACTOR QUALITY CONTROL REPORT

Contract No.	
Name of Project	
Prime Contractor	

Report No.	
Date	

Today's Weather

General Description	
Temperature Range	
Precipitation	

Were there any delays in work progress today? Yes No

If Yes, Explain

Describe any verbal instructions given by Government personnel
(include name of person giving instruction)

Has anything developed which might lead to a change order or claim?

Yes No If Yes, Explain

Contractor/Subcontractors On Site

Name of Contractor	Hours on Site	Area of Responsibility

Prime Contract/Subcontractor Workforce

Employer	Trade	No.	Hours

Major Items of Equipment

Type/Capacity	Contractor	No.	Oper. Hours	Standby Hours	Repair Hours

Work Performed this date (enter all activities started but not previously reported as completed)

Act. No.	Actual Start Date	Actual Finish Date	Description of work performed this date and major material deliveries today. Identify contractor performing the work.

Off-site Surveillance Activities, including actions taken

--

Definable Features of Work - History

Definable Features of Work (List all) <i>Attach checklist for each Preparatory or Initial Phase Inspection held this date.</i>	Preparatory Inspection Date	Initial Inspection Date

Unit Price Work Completed This Date

CLIN	Description	Unit	Contract Quantity	Quantity Completed This Date	Total To Date

Deficiencies Noted or Corrected This Date

Act. No.	Description of Deficiency	Noted	Corrected

CQC Testing

Act. No.	Description <i>Include reference to contract specification.</i>	Test Results	Pass/Fail

The following Equipment Safety Checklists are attached to this report.

Were there any Lost Time Accidents this date? Yes No
 If Yes, attach accident report.

Safety Inspections: Identify results of all safety inspections and meetings this date. State what was checked and instructions given and corrective actions taken.

User Schooling Conducted

Act. No.	Description of Schooling and Names of Participants

Materials Received (note quantity of materials received, inspection results and storage provided)

Other General Comments

The following items are attached to this CQC Report

Contractor Certification

On behalf of the contractor, I certify that this report is complete and correct and all equipment and material used and work performed during this reporting period are in compliance with the contract plans and specifications, to the best of my knowledge, except as noted above.

Contract Quality Control Manager: _____

Signature: _____

Date: _____

BID BOND (See instructions on reverse)	DATE BOND EXECUTED (Must not be later than bid opening date)	FORM APPROVED OMB NO. 9000-0045
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Public reporting burden for this collection of information is estimated to average 25 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the FAR Secretariat (VRS), Office of Federal Acquisition Policy, GSA, Washington, D.C. 20405; and to the Office of Management and Budget, Paperwork Reduction Project (9000-0045), Washington, D.C. 20503.

PRINCIPAL (Legal name and business address)	TYPE OF ORGANIZATION ("X" one) <input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> JOINT VENTURE <input type="checkbox"/> CORPORATION STATE OF INCORPORATION
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SURETY(IES) (Name and business address)

PENAL SUM OF BOND					BID IDENTIFICATION	
PERCENT OF BID PRICE	AMOUNT NOT TO EXCEED				BID DATE	INVITATION NO.
	MILLION(S)	THOUSAND(S)	HUNDRED(S)	CENTS		
FOR (Construction, Supplies or Services)						

OBLIGATION:

We, the Principal and Surety(ies) are firmly bound to the United States of America (hereinafter called the Government) in the above penal sum. For payment of the penal sum, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally. However, where the Sureties are corporations acting as co-sureties, we, the Sureties, bind ourselves in such sum "jointly and severally" as well as "severally" only for the purpose of allowing a suit or action or actions against any or all of us. For all other purposes, each Surety binds itself, jointly and severally with the Principal, to the payment of the sum shown opposite the name of the Surety. If no limit of liability is indicated, the limit of liability is the full amount of the penal sum.

CONDITIONS:

The Principal has submitted the bid identified above.

THEREFORE:

The above obligation is void if the Principal - (a) upon receipt by the Government of the bid identified above, within the period specified therein for acceptance (sixty (60) days, no period is specified), executes the further contractual documents and gives the bond(s) required by the terms of the bid as accepted within the time specified (ten (10) days if no period is specified) after receipt of the forms by the principal; or (b) in the event of failure to execute such further contractual documents and give such bonds, pays the Government for any cost of procuring the work which exceeds the amount of the bid.

Each Surety executing this instrument agrees that its obligation is not impaired by any extension(s) of the time for acceptance of the bid that the Principal may grant to the Government. Notice to the surety(ies) of extension(s) are waived. However, waiver of the notice applies only to extensions aggregating not more than sixty (60) calendar days in addition to the period originally allowed for acceptance of the bid.

WITNESS:

The Principal and Surety(ies) executed this bid bond and affixed their seals on the above date.

PRINCIPAL						
SIGNATURE(S)	1.	2.	3.	Corporate Seal		
	(Seal)					
NAME(S) & TITLE(S) (Type)	1.	2.	3.			
INDIVIDUAL SURETY(IES)						
SIGNATURE(S)	1.	2.	(Seal)			
NAME(S) (Type)	1.	2.				
CORPORATE SURETY(IES)						
SURETY A	NAME & ADDRESS			STATE OF INC.	LIABILITY LIMIT \$	Corporate Seal
	SIGNATURE(S)	1.	2.			
	NAME(S) & TITLE(S) (Type)	1.	2.			

CORPORATE SURETY(IES) (Continued)

SURETY B	NAME & ADDRESS		STATE OF INC.	LIABILITY LIMIT \$	Corporate Seal
	SIGNATURE(S)	1.	2.		
	NAME(S) & TITLE(S) (Type Ⓞ)	1.	2.		
SURETY C	NAME & ADDRESS		STATE OF INC.	LIABILITY LIMIT \$	Corporate Seal
	SIGNATURE(S)	1.	2.		
	NAME(S) & TITLE(S) (Type Ⓞ)	1.	2.		
SURETY D	NAME & ADDRESS		STATE OF INC.	LIABILITY LIMIT \$	Corporate Seal
	SIGNATURE(S)	1.	2.		
	NAME(S) & TITLE(S) (Type Ⓞ)	1.	2.		
SURETY E	NAME & ADDRESS		STATE OF INC.	LIABILITY LIMIT \$	Corporate Seal
	SIGNATURE(S)	1.	2.		
	NAME(S) & TITLE(S) (Type Ⓞ)	1.	2.		
SURETY F	NAME & ADDRESS		STATE OF INC.	LIABILITY LIMIT \$	Corporate Seal
	SIGNATURE(S)	1.	2.		
	NAME(S) & TITLE(S) (Type Ⓞ)	1.	2.		
SURETY G	NAME & ADDRESS		STATE OF INC.	LIABILITY LIMIT \$	Corporate Seal
	SIGNATURE(S)	1.	2.		
	NAME(S) & TITLE(S) (Type Ⓞ)	1.	2.		

INSTRUCTIONS

1. This form is authorized for use when a bid guaranty is required. Any deviation from this form will require the written approval of the Administrator of General Services.
2. Insert the full legal name and business address of the Principal in the space designated "Principal" on the face of the form. A authorized person shall sign the bond. Any person signing in a representative capacity (e.g., an attorney-in-fact) must furnish evidence of authority if that representative is not a member of the firm, partnership, or joint venture, or an officer of the corporation involved.
3. The bond may express penal sum as a percentage of the bid price. In these cases, the bond may state a maximum dollar limitation (e.g., 20% of the bid price but the amount not to exceed _____ dollars).
4. (a) Corporations executing the bond as sureties must appear on the Department of the Treasury's list of approved sureties and must act within the limitation listed therein. Where more than one corporate surety is involved, their names and addresses shall appear in the spaces (Surety A, Surety B, etc.) headed "CORPORATE SURETY(IES)." In the space designated "SURETY(IES)" on the face of the form insert only the letter identification of the sureties.

(b) Where individual sureties are involved, a completed Affidavit of Individual Surety (Standard Form 28), for each individual surety shall accompany the bond. The Government may require the surety to furnish additional substantiating information concerning its financial capability.
5. Corporations executing the bond shall affix their corporate seals. Individuals shall execute the bond opposite the word "Corporate Seal"; and shall affix an adhesive seal if executed in Maine, New Hampshire, or any other jurisdiction requiring adhesive seals.
6. Type the name and title of each person signing this bond in the space provided.
7. In its application to negotiated contracts, the terms "bid" and "bidder" shall include "proposal" and "offeror."

PERFORMANCE BOND <i>(See Instructions on reverse)</i>		DATE BOND EXECUTED <i>(Must be same or later than date of contract)</i>	
PRINCIPAL <i>(Legal name and business address)</i>		TYPE OF ORGANIZATION <i>("X" one)</i>	
		<input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> JOINT VENTURE <input type="checkbox"/> CORPORATION	
		STATE OF INCORPORATION	
SURETY(IES) <i>(Name(s) and business address(es))</i>		PENAL SUM OF BOND	
		MILLION(S)	THOUSAND(S) HUNDRED(S) CENTS
		CONTRACT DATE	CONTRACT NO.

OBLIGATION:

We, the Principal and Surety(ies), are firmly bound to the United States of America (hereinafter called the Government) in the above penal sum. For payment of the penal sum, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally. However, where the Sureties are corporations acting as co-sureties, we, the Sureties, bind ourselves in such sum "jointly and severally" as well as "severally" only for the purpose of allowing a joint action or actions against any one of us. For all other purposes, each Surety binds itself, jointly and severally with the Principal, for the payment of the sum shown opposite the name of the Surety. If no limit of liability is indicated, the limit of liability is the full amount of the penal sum.

CONDITIONS:

The Principal has entered into the contract identified above.

THEREFORE,

The above obligation is void if the Principal —

(a) Performs and fulfills all the undertakings, covenants, terms, conditions, and agreements of the contract during the original term of the contract and any extensions thereof that are required by the Government, with or without notice to the Surety(ies), and during the life of any guaranty required under the contract, and to perform and fulfill all the undertakings, covenants, terms conditions, and agreements of any and all duly authorized modifications of the contract that hereafter are made. Notice of those modifications to the Surety(ies) are waived.

(b) Pays to the Government the full amount of the taxes imposed by the Government, if the said contract is subject to the Miller Act, (40 U.S.C. 270a-270e), which are collected, deducted, or withheld from wages paid by the Principal in carrying out the construction contract with respect to which this bond is furnished.

WITNESS

The Principal and Surety(ies) executed this performance bond and affixed their seals on the above date.

PRINCIPAL			
	1.	2.	Corporate Seal
	Signature(s)	(Seal)	
	1.	2.	Corporate Seal
	Name(s) & Title(s) <i>(Typed)</i>		
INDIVIDUAL SURETY(IES)			
	1.	2.	Corporate Seal
	Signature(s)	(Seal)	
	1.	2.	Corporate Seal
	Name(s) <i>(Typed)</i>		
CORPORATE SURETY(IES)			
SURETY A	Name & Address	STATE OF INC.	LIABILITY LIMIT \$
	1.	2.	Corporate Seal
	Signature(s)		
	1.	2.	Corporate Seal
	Name(s) & Title(s) <i>(Typed)</i>		

NSN 7540-01-152-8060
PREVIOUS EDITION USABLE
25-106
STANDARD FORM 26 (REV. 10-83)
Prescribed by GSA
FAR (48 CFR 53.228 (b))

CORPORATE SURETY(IES) (Continued)

		Name & Address		STATE OF INC.	LIABILITY LIMIT	
		1.	2.		\$	
SURETY B	Signature(s)	1.		2.		<i>Corporate Seal</i>
	Name(s) & Title(s) (Typed)	1.		2.		
	Name & Address			STATE OF INC.	LIABILITY LIMIT	
SURETY C	Signature(s)	1.		2.		<i>Corporate Seal</i>
	Name(s) & Title(s) (Typed)	1.		2.		
	Name & Address			STATE OF INC.	LIABILITY LIMIT	
SURETY D	Signature(s)	1.		2.		<i>Corporate Seal</i>
	Name(s) & Title(s) (Typed)	1.		2.		
	Name & Address			STATE OF INC.	LIABILITY LIMIT	
SURETY E	Signature(s)	1.		2.		<i>Corporate Seal</i>
	Name(s) & Title(s) (Typed)	1.		2.		
	Name & Address			STATE OF INC.	LIABILITY LIMIT	
SURETY F	Signature(s)	1.		2.		<i>Corporate Seal</i>
	Name(s) & Title(s) (Typed)	1.		2.		
	Name & Address			STATE OF INC.	LIABILITY LIMIT	
SURETY G	Signature(s)	1.		2.		<i>Corporate Seal</i>
	Name(s) & Title(s) (Typed)	1.		2.		
	Name & Address			STATE OF INC.	LIABILITY LIMIT	

BOND PREMIUM ▶	RATE PER THOUSAND	TOTAL
\$	\$	\$

INSTRUCTIONS

1. This form is authorized for use in connection with Government contracts. Any deviation from this form will require the written approval of the Administrator of General Services.

2. Insert the full legal name and business address of the Principal in the space designated "Principal" on the face of the form. An authorization person shall sign the bond. Any person signing in a representative capacity (e.g., an attorney-in-fact) must furnish evidence of authority if that representative is not a member of the firm, partnership, or joint venture, or an officer of the corporation involved.

3. (a) Corporations executing the bond as sureties must appear on the Department of the Treasury's list of approved sureties and must act within the limitation listed therein. Where more than one corporate surety is involved, their names and addresses shall appear in the spaces (Surety A, Surety B, etc.) headed "CORPORATE

SURETY(IES)". In the space designated "SURETY(IES)" on the face of the form insert only the letter identification of the sureties.

(b) Where individual sureties are involved, two or more responsible persons shall execute the bond. A completed Affidavit of Individual Surety (Standard Form 28), for each individual surety, shall accompany the bond. The Government may require these sureties to furnish additional substantiating information concerning their financial capability.

4. Corporations executing the bond shall affix their corporate seals. Individuals shall execute the bond opposite the word "Corporate Seal", and shall affix an adhesive seal if executed in Maine, New Hampshire, or any other jurisdiction requiring adhesive seals.

5. Type the name and title of each person signing this bond in the space provided.

PAYMENT BOND <i>(See Instructions on reverse)</i>	DATE BOND EXECUTED <i>(Must be same or later than date of contract)</i>																				
PRINCIPAL <i>(Legal name and business address)</i>	TYPE OF ORGANIZATION <i>("X" one)</i> <input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> JOINT VENTURE <input type="checkbox"/> CORPORATION STATE OF INCORPORATION																				
SURETY(IES) <i>(Name(s) and business address(es))</i>	<table border="1" style="width:100%; border-collapse: collapse; font-size: x-small;"> <tr> <th colspan="4" style="text-align: center;">PENAL SUM OF BOND</th> </tr> <tr> <td style="width:25%;">MILLION(S)</td> <td style="width:25%;">THOUSAND(S)</td> <td style="width:25%;">HUNDRED(S)</td> <td style="width:25%;">CENTS</td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td colspan="2">CONTRACT DATE</td> <td colspan="2">CONTRACT NO.</td> </tr> <tr> <td colspan="2"> </td> <td colspan="2"> </td> </tr> </table>	PENAL SUM OF BOND				MILLION(S)	THOUSAND(S)	HUNDRED(S)	CENTS					CONTRACT DATE		CONTRACT NO.					
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CONTRACT DATE		CONTRACT NO.																			

OBLIGATION:

We, the Principal and Surety(ies), are firmly bound to the United States of America (hereinafter called the Government) in the above penal sum. For payment of the penal sum, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally. However, where the Sureties are corporations acting as co-sureties, we, the Sureties, bind ourselves in such sum "jointly and severally" as well as "severally" only for the purpose of allowing a joint action or actions against any or all of us. For all other purposes, each Surety binds itself, jointly and severally with the Principal, for the payment of the sum shown opposite the name of the Surety. If no limit of liability is indicated, the limit of liability is the full amount of the penal sum.

CONDITIONS:

The above obligation is void if the Principal promptly makes good on all persons having a direct relationship with the Principal or a sub-contractor of the Principal for furnishing labor, material or both in the prosecution of the work provided for in the contract identified above, and any authorized modifications of the contract or subsequently are made. Notice of those modifications to the Surety(ies) are waived.

WITNESS:

The Principal and Surety(ies) executed this payment bond and affixed their seals on the above date.

PRINCIPAL				
	Signature(s)	1. _____	2. _____	<i>Corporate Seal</i>
		<i>(Seal)</i>	<i>(Seal)</i>	
	Name(s) & Title(s) <i>(Typed)</i>	1. _____	2. _____	
INDIVIDUAL SURETY(IES)				
	Signature(s)	1. _____	2. _____	
		<i>(Seal)</i>	<i>(Seal)</i>	
	Name(s) <i>(Typed)</i>	1. _____	2. _____	
CORPORATE SURETY(IES)				
SURETY A	Name & Address			STATE OF INC. LIABILITY LIMIT \$
	Signature(s)	1. _____	2. _____	<i>Corporate Seal</i>
	Name(s) & Title(s) <i>(Typed)</i>	1. _____	2. _____	

CORPORATE SURETY(IES) (Continued)

SURETY B	Name & Address		STATE OF INC.	LIABILITY LIMIT \$	<i>Corporate Seal</i>
	Signature(s)	1.	2.		
	Name(s) & Title(s) <i>(Typed)</i>	1.	2.		
SURETY C	Name & Address		STATE OF INC.	LIABILITY LIMIT \$	<i>Corporate Seal</i>
	Signature(s)	1.	2.		
	Name(s) & Title(s) <i>(Typed)</i>	1.	2.		
SURETY D	Name & Address		STATE OF INC.	LIABILITY LIMIT \$	<i>Corporate Seal</i>
	Signature(s)	1.	2.		
	Name(s) & Title(s) <i>(Typed)</i>	1.	2.		
SURETY E	Name & Address		STATE OF INC.	LIABILITY LIMIT \$	<i>Corporate Seal</i>
	Signature(s)	1.	2.		
	Name(s) & Title(s) <i>(Typed)</i>	1.	2.		
SURETY F	Name & Address		STATE OF INC.	LIABILITY LIMIT \$	<i>Corporate Seal</i>
	Signature(s)	1.	2.		
	Name(s) & Title(s) <i>(Typed)</i>	1.	2.		
SURETY G	Name & Address		STATE OF INC.	LIABILITY LIMIT \$	<i>Corporate Seal</i>
	Signature(s)	1.	2.		
	Name(s) & Title(s) <i>(Typed)</i>	1.	2.		

INSTRUCTIONS

1. This form, for the protection of persons supplying labor and material, is used when a payment bond is required under the Act of August 24, 1935, 49 Stat. 793 (40 U.S.C. 270 a-270e). Any deviation from this form will require the written approval of the Administrator of General Services.

2. Insert the full legal name and business address of the Principal in the space designated "Principal" on the face of the form. An authorized person shall sign the bond. Any person signing in a representative capacity (e.g., an attorney-in-fact) must furnish evidence of authority if that representative is not a member of the firm, partnership, or joint venture, or an officer of the corporation involved.

3. (a) Corporations executing the bond as sureties must appear on the Department of the Treasury's list of approved sureties and must act within the limitation listed therein. Where more than one corporate surety is involved, their names and addresses shall appear

in the spaces (Surety A, Surety B, etc.) headed "CORPORATE SURETY(IES)". In the space designated "SURETY(IES)" on the face of the form, insert only the letter identification of the sureties.

(b) Where individual sureties are involved, two or more responsible persons shall execute the bond. A completed Affidavit of Individual Surety (Standard Form 28), for each individual surety, shall accompany the bond. The Government may require these sureties to furnish additional substantiating information concerning their financial capability.

4. Corporations executing the bond shall affix their corporate seals. Individuals shall execute the bond opposite the word "Corporate Seal"; and shall affix an adhesive seal if executed in Maine, New Hampshire, or any other jurisdiction regarding adhesive seals.

5. Type the name and title of each person signing this bond in the space provided.

INSTRUCTIONS

1. Section I will be initiated by the Contractor in the required number of copies.
2. Each transmittal shall be numbered consecutively in the space provided for "Transmittal No.". This number, in addition to the contract number, will form a serial number for identifying each submittal. For new submittals or resubmittals mark the appropriate box; on resubmittals, insert transmittal number of last submission as well as the new submittal number.
3. The "Item No." will be the same "Item No." as indicated on ENG FORM 4288-R for each entry on this form.
4. Submittals requiring expeditious handling will be submitted on a separate form.
5. Separate transmittal form will be used for submittals under separate sections of the specifications.
6. A check shall be placed in the "Variation" column when a submittal is not in accordance with the plans and specifications--also, a written statement to that effect shall be included in the space provided for "Remarks".
7. Form is self-transmittal, letter of transmittal is not required.
8. When a sample of material or Manufacturer's Certificate of Compliance is transmitted, indicate "Sample" or "Certificate" in column c, Section I.
9. U.S. Army Corps of Engineers approving authority will assign action codes as indicated below in space provided in Section I, column i to each item submitted. In addition they will ensure enclosures are indicated and attached to the form prior to return to the contractor. The Contractor will assign action codes as indicated below in Section I, column g, to each item submitted.

THE FOLLOWING ACTION CODES ARE GIVEN TO ITEMS SUBMITTED

- | | |
|---|---|
| A -- Approved as submitted. | E -- Disapproved (See attached). |
| B -- Approved, except as noted on drawings. | F -- Receipt acknowledged. |
| C -- Approved, except as noted on drawings.
Refer to attached sheet resubmission required. | FX -- Receipt acknowledged, does not comply
as noted with contract requirements. |
| D -- Will be returned by separate correspondence. | G -- Other (<i>Specify</i>) |
10. Approval of items does not relieve the contractor from complying with all the requirements of the contract plans and specifications.

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION

MT. MORRIS DAM DEBRIS & SILT REMOVAL

CONTRACTOR

ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASSIFICATION	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		APPROVING AUTHORITY					REMARKS		
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION	DATE FWD TO APPR AUTH/	DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE		DATE OF ACTION	MAILED TO CONTR/
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)	
		01355	SD-01 Preconstruction Submittals															
			Notice of Intent to Commence Work	1.6.5	G AOF													
			Preconstruction Survey	1.6.3														
			Spill Response Plan	1.6.7	G TSD													
		01451	SD-01 Preconstruction Submittals															
			Quality Control Plan	3.2	G AOF													
			Contractor Quality Control Plan Checklist	3.2	G AOF													
		01525	SD-01 Preconstruction Submittals															
			Accident Prevention Plan (APP)	1.8	G AOF													
			Activity Hazard Analysis (AHA)	1.9	G AOF													
			SD-06 Test Reports															
			Reports	1.13														
			Accident Reports	1.13.1														
			Monthly Exposure Reports	1.13.3														
			Regulatory Citations and Violations	1.13.4														
		02232	SD-01 Preconstruction Submittals															
			Removal Plan	3.1.1	G AOF													
			Upland Disposal Facility	3.2.3														
			SD-03 Product Data															
			Crushed Stone Fill	2.1	G TSD													

General Decision Number: NY030032 06/13/2003

General Decision Number: **NY030032** 06/13/2003

Superseded General Decision No. NY020032

State: New York

Construction Type:

BUILDING

HEAVY

HIGHWAY

County(ies):

LIVINGSTON

BUILDING CONSTRUCTION PROJECTS (does not include residential construction consisting of single family homes and apartments up to and including 4 stories), HEAVY AND HIGHWAY CONSTRUCTION PROJECTS

Modification Number	Publication Date
0	06/13/2003

COUNTY(ies):

LIVINGSTON

ASBE0026P 07/01/2002

	Rates	Fringes
ASBESTOS/INSULATOR WORKERS (Includes the application of all insulating materials, protective coverings, coatings, and finishes to all types of mechanical systems. Also, the application of fireproofing material openings and penetrations in walls, floors, ceilings, curtain walls and all lead abatement)	19.27	9.83
HAZARDOUS WASTE HANDLERS Duties limited to Preparation; wetting; stripping; removal; scrapping; vacuuming; bagging; and disposing of all insulation materialsz, whether they contain asbestos or not, from mechanical systems	17.92	8.25

BOIL0007A 01/01/2003

	Rates	Fringes
BOILERMAKER	25.80	12.75

BRNY0003I 09/01/2002

	Rates	Fringes
CORNING CHAPTER		
LIVINGSTON COUNTY (Townships of North Dansville, Nunda, Ossian, Portage, Springwater, and West Sparta)		
BUILDING CONSTRUCTION		
Bricklayers, Cement Masons, Plasters, Stone Masons, Tile Setters, Terrazzo Workers, Marble Masons, Pointers, Caulkers, Cleaners	17.28	7.17
HEAVY & HIGHWAY CONSTRUCTION		
CEMENT MASONS		
Townships Listed above	19.14	10.45
Remaining Townships	20.19	8.84

BRNY0003J 09/01/2002

	Rates	Fringes
ROCHESTER CHAPTER		
LIVINGSTON COUNTY (Townships of Calendonía, York, Avon, Lima, Geneseo, Livonia, Conesus, Mount, Morris, Groveland, Leicester, and Letchworth State Park, north of the Portage Townline)		
BUILDING CONSTRUCTION		
BRICKLAYERS, STONE MASONS, PLASTERERS, CEMENT MASONS, POINTER, CLEANER AND CAULKERS		
	22.71	10.92
MARBLE, TILE & TERRAZZO WORKERS	22.18	10.28
HEAVY/HIGHWAY CONSTRUCTION		
CEMENT MASONS	20.19	8.84

CARP0085D 07/01/2002

	Rates	Fringes
CARPENTERS:		
BUILDING CONSTRUCTION	21.89	11.62+a
HEAVY & HIGHWAY CONSTRUCTION	21.37	11.61+a

FOOTNOTE:

a. PAID HOLIDAYS - New Year's Day, Memorial Day, Independence
Day, Labor Day, Thanksgiving Day and Christmas Day.

CARP1163A 04/01/2001

	Rates	Fringes
BUILDING CONSTRUCTION		
Millwrights & Piledrivers	20.63	10.59
HEAVY & HIGHWAY CONSTRUCTION		
Millwrights & Piledrivers	22.52	11.11
Diver (Wet Day)	38.28	11.11

Diver (Dry Day)	23.52	11.11
Diver Tender	23.52	11.11

 ELEC0086B 05/27/2002

	Rates	Fringes
ELECTRICIAN	26.30	10.51

 ELEC1249C 05/04/2003

	Rates	Fringes
LINE CONSTRUCTION (LINEMAN) LIGHTING AND TRAFFIC SIGNAL Including any and all Fiber Optic Cable necessary for Traffic Signal Systems, Traffic Monitoring systems and Road Weather information systems		
Lineman & Technician	28.40	8.50+6.5%+a
Groundman Digging Machine Operator	25.56	8.50+6.5%+a
Mechanic	22.72	8.50+6.5%+a
Groundman Truck Driver (tractor trailer unit)	24.14	8.50+6.5%+a
Groundman Truck Driver	22.72	8.50+6.5%+a
Flagman	17.04	8.50+6.5%+a

FOOTNOTE:

- a. New Years Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day, plus President's Day, Good Friday, Decoration Day, Election Day for the President of the United States and Election Day for the Governor of the State of New York, provided the employee works the day before or the day after the holiday.

 ELEC1249D 05/04/2003

	Rates	Fringes
LINE CONSTRUCTION: Substation:		
Lineman & Technician	31.27	8.50+7%+a
Cable Splicer	34.40	8.50+7%+a
Groundman digging machine Operator	28.14	8.50+7%+a
Mechanic	25.02	8.50+7%+a
Groundman truck driver (tractor trailer unit)	26.58	8.50+7%+a
Ground man truck driver	25.02	8.50+7%+a
Flagman	18.76	8.50+7%+a
Switching structures; railroad catenary installation and maintenance, third rail type underground fluid or gas filled transmission conduit and cable		

installations (including any and all fiber optic ground product by any other name manufactured for the dual purpose of ground fault protection and fiber optic capabilities), pipetype cable installation and maintenance jobs or projects, and maintenance bonding of rails; Pipetype cable installation

Lineman & Technician	32.56	8.50+7%+a
Cable Splicer	35.82	8.50+7%+a
Groundman Digging Machine Operator	29.30	8.50+7%+a
Mechanic	26.05	8.50+7%+a
Groundman Truck Driver (Tractor-trailer unit)	27.68	8.50+7%+a
Groundman Truck Driver	26.05	8.50+7%+a
Flagman	19.54	8.50+7%+a

Overhead and underground distribution and maintenance work and all overhead and underground transmission line work including any and all fiber optic ground wire, fiber optic shield wire or any other like product by any other name manufactured for the dual purpose of ground fault protection and fiber optic capabilities (where no other trades are or have been involved):

Lineman and Technician	31.27	8.50+7%+a
Cable Splicer	31.27	8.50+7%+a
Groundman digging machine operator	28.14	8.50+7%+a
Mechanic	25.02	8.50+7%+a
Groundman truck driver (tractor trailer unit)	26.58	8.50+7%+a
Groundman Truck driver	25.02	8.50+7%+a
Flagman	18.76	8.50+7%+a

Overhead transmission line work (where other trades are or have been involved):

Lineman and Technician	33.79	8.50+7%+a
Cable Splicer	33.79	8.50+7%+a
Groundman digging machine operator	30.41	8.50+7%+a
Mechanic	27.03	8.50+7%+a
Groundman truck driver (tractor trailer unit)	28.72	8.50+7%+a
Groundman truck driver	27.03	8.50+7%+a

Flagman	20.27	8.50+7%+a
TELEPHONE, CATV FIBEROPTICS CABLE AND EQUIPMENT		
Cable splicer/Central Office Person	23.07	2.80+3%
Installer Repairman-Teledata Lineman/Tecnician-Equipment Operator	21.91	2.80+3%
Groundman	11.61	2.80+3%
TREE TRIMMER	17.85	3.95+3%+b

FOOTNOTE:

a. PAID HOLIDAYS: New Year's Day, Presidents' Day, Memorial Day, Good Friday, Independence Day, Labor Day, Thanksgiving Day, Christmas Day, and election Day for the President of the United States and Election Day for the Governor of New York State, provided the employee works two days before or two days after the holiday.

b. New Years Day, Washington's Birthday, Good Friday, Decoration Day, Independence Day, Labor Day, Veteran's Thanksgiving Day, Day after Thanksgiving Day and Christmas Day

ELEV0027B 05/28/2002

	Rates	Fringes
ELEVATOR CONSTRUCTORS:		
Mechanics	28.815	7.455+a

FOOTNOTES:

a. PAID HOLIDAYS: New Year's Day; Memorial Day; Independence Day; Labor Day; Thanksgiving and Christmas Day and the Friday after Thanksgiving. Employer contributes 8% basic hourly rate for 5 years or more service or 6% basic hourly rate for 6 months to 5 years of service as vacation pay credit.

ENGI0832A 07/01/2002

	Rates	Fringes
POWER EQUIPMENT OPERATOR		
EXCAVATION AND PAVING:		
Master Mechanic	19.41	11.15+a
GROUP 1:	18.86	11.15+a
GROUP 2:	18.47	11.15+a
GROUP 3:	17.91	11.15+a
GROUP 4:	15.08	11.15+a

FOOTNOTE:

a. PAID HOLIDAYS: New Year's Day; Merorial Day; Independence Day; Labor Day; Thanksgiving Day; Christmas Day, regardless of the day of the week on which the holiday may fall, provided the employee works either on the work day immediately preceding the

holiday or on the scheduled work day immediately following the holiday.

EXCAVATION AND PAVING CLASSIFICATIONS

GROUP 1: Asphalt paver; automatic fine grader; backhoe (except tractor mounted; rubber tired); blacktop plant (automated); cableway; caisson auger; central mix concrete plant (automated); cherry picker- over 5 ton capacity; crane; cranes and derricks (steel erection); dragline, dual drum paver; front end loader (4 cu. yd. and over); hoist, (Tow or 3 drum); pile driver; power grader with elevation loader attachment; quarry master (or equivalent); shovel; slip form paver; tractor drawn belt-type loader; truck crane tunnel shovel; excavator: all purpose hydraulically operated.

GROUP 2: Backhoe (tractor mounted, rubber tired); bituminous spreader and mixer; blacktop plant (non automated); boring machine; cage hoist; central mix plant (non automated) and all concrete batching plants; cherry picker: 5 tons and under; compressor (4 or less) exceeding 2000 CFM combined capacity; concrete paver over 16s; concrete pump; crusher; drill rugs (tractor mounted); front end loader (under 4 cu. yds); hi-pressure boiler (15 lbs and over); hoist (one drum); Kolman plant loader and similar type loaders; maintenance engineer; maintenance grease man; mechanical slurry machine; mixer for stavilized base self propelled; monorail machine; plant engineer; power broom; power grader; pump crete; ready mix concrete plant; road widener; roller (all above sub-grade); side boom; tractor scraper; tractor with dozer and or pusher; trencher; winch.

GROUP 3: Compressors (4 not to exceed 2000 CFM combined capacity; or 3 or less with more than 1200 CFM but not to exceed 2000 CFM); compressors (any size but subject to other provisions for compressors); dust collectors; generators; welding machines (4 of any type or combination); concrete pavement spreaders and finishers; conveyor; drill (core); drill (well); electric pump used in conjunction with well point systems; farm tractor with accessories; fine grade machine; fork lift; gunite machine; hammers-hydraulic-self propelled; locomotive; post hole digger and post driver; pumps (regardless of motive power, not more than 4 in number not to exceed 20" in total capacity); submersible electric pumps when used in lieu of well points, tractor with towed accessories; vibratory compactor; vibro tamp; well point.

GROUP 4: Compressor (any size, but subject to other provisions for compressors); dust collectors; generators; welding machines (3 or less of any type or combination); concrete mixer (16s and under), concrete saw-self propelled; fireman; form tamper; mulching machine; power heaterman; pumps regardless of motive power no more than 3 in number not to exceed 12" in total

capacity; revinius widener; steam cleaner; tractor.

POWER EQUIPMENT OPERATORS

HEAVY AND HIGHWAY CONSTRUCTION:

GROUP 1:	26.39	13.45+b
GROUP 2:	25.68	13.45+b
GROUP 3:	22.82	13.45+b
GROUP 4:	27.65	13.45+b
GROUP 5:	27.52	13.45+b

FOOTNOTE:

b. PAID HOLIDAYS: New Years Day, Memorial Day, Independence Day Labor Day, Thanksgiving Day, Christmas Day provided the employee works the working day before and the working day after the holiday

POWER EQUIPMENT OPERATOR CLASSIFICATIONS

GROUP 1: Asphalt paver; automated concrete spreader (CMI type); automatic fine grader; backhoe (except tractor mounted, rubber tired); belt placer (CMI type); blacktop plant (automated); cableway; caisson auger; central mix concrete plant (automated), cherry picker (over 5 tons capacity); concrete curb machine (self-propelled-slipform); concrete pump (8" or over); crane; cranes and derricks (steel erection); dragline; dredge; dual drum paver; excavator (all purpose-hydraulically operated gradall or similar); fork lift (factory rated 15 ft and over); front end loader (4 cy and over); head tower (sauerman or equal); hoist (two or three drum); holland loader; hydro-blaster; maintenance engineer; mine hoist; mucking machine or mole; overhead crane (gentry or straddle type); pavement profiler (over 105 horsepower); pole driver; power grader; Quad 9; quarry master (or equivalent); scraper; shovel; side boom; slip form paver; tractor drawn belt-type loader; truck crane; truck or trailer mounted log chipper (self feeder); tug operator (manned rented equipment excluded); tunnel shovel.

GROUP 2: Backhoe (tractor mounted, rubber tired); bituminous spreader and mixer; blacktop plant (non automated); blast or rotary drill (truck or tractor mounted); boring machine; cage hoist; central mix plant (non automated and all concrete batching plants); cherry picker (5 tons capacity and under); compressors (4 or less) exceeding 2,000 CFM combined capacity; concrete paver (over 16s); concrete pump (under 8"); core drill; crusher; diesel power unit; drill rigs; tractor mounted; fork lift (factory rated under 15 ft); front end loader (under 4 cu yd); hi pressure boiler (15 lbs and over); hoist (one drum); hydro-axe; hydroblaster; kolman plant loader and similar type loaders; LCM work boat operator; locomotive; log skidder; lubrication engineer/greaseman; mixer (for stabilized base self-propelled); monorail machine; pavement breaker (self-propelled) wertgen; PB-4 and similar type; pavement profiler 105 horsepower and under;

plant engineer; pump crete; ready mix concrete plant; refrigeration equipment (for soil stabilization); road widener; roller (all above sub-grade); sea mule; tractor with dozer and or pusher; trencher; tugger hoist; vermeer type saw; welder; winch; winch cat.

GROUP 3: "A" frame truck; aggregate plant; ballast regulator (ride-on); boiler (used in conjunction with production); cement and bin operator; compressors (4 not to exceed 2,000 CFM combined capacity; or 3 or less with more than 1,200 CFM but not to exceed 2,000 cfm); compressors (any size but subject to other provisions for compressors) dust collectors; generators; pumps; welding machines; light plants (4 of any type or combination); concrete pavement spreader and finisher; concrete paver or mixer (16s and under); concrete saw (self-propelled); conveyor; electric pump used in conjunction with well-point system; farm tractor with accessories; fine grade machine; fireman; form tamper; grout pump; gunite machicne; hammers (hydraulic saelf-popelled); hydra-spiker (ride on); hydraulic pump (jacking system); hydroblaster (low pressure cleaning); light plants; mulching machine; oiler; parapet concrete or pavement grinder; post hole digger and post driver; power broom (towed); power heaterman; power sweeper; rivenous widener; roller (grad and fill); scarifier (ride on); shell winder; span-saw (ride on); steam cleaner; submersible electric pump (when used in lieu of well-point system); tamper (ride on); tie extractor (ride-on); tie handler (ride-on); tie inserter (ride-on); tie spacer (ride on); track liner (ride on); tractor (with or without towed accessories); vibratory compactor; vibrotamp; well drill; well point.

GROUP 4: master mechanic

GROUP 5: crane: friction or lattice type crane with boom length 200 feet and over.

POWER EQUIPMENT OPERATORS

TUNNEL and SHAFT:

Master Mechanic

& Chief Tunnel Engineer	26.25	11.15+b
GROUP 1:	24.59	11.15+b
GROUP 2:	23.65	11.15+b
GROUP 3:	21.50	11.15+b
GROUP 4:	19.18	11.15+b

FOOTNOTE:

b. PAID HOLIDAYS: New Years Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day, regardless of the day of the week on which the Holiday may fall, provided the employee works the working day before and the working day after the holiday

TUNNEL AND SHAFT CLASSIFICATIONS

GROUP 1: Automated concrete spreader (CMI or equivalent);

automated fine grade machine (cmi); backhoe; belt placer (cmi or similar); blacktop spreader (automated); cableway; caisson auger; central mix plant (automated); cherry picker (5 tons); concrete curb machine (self-propelled slipform); concrete pump; crane; crane shaft; crane underground; cranes and derricks (steel erection); dragline; dredge; dual drum paver; excavator (all purpose-hydraulically operated gradall or similar); fork lift (factory rated 15' and over); front end loader (4cu yd and over); head tower (sauerman or equal); hoist; shaft; hoist (two or three drum); holland loader; maintenance engineer (shaft and tunnel); mine hoist; mining machine (mole and similar types); mucking machine or mose; overhead crane (gantry or straddle type); pile driver; power grader; Quad 9, quarry master (or equivalent); scraper; shovel; side boom; slip form paver; tripper/maintenance engineer (shaft and tunnel); tractor drawn belt-type loader; truck crane; truck or trailer mounted log chipper (self feeder); tug operator (manned rented equipment excluded); tunnel shovel.

GROUP 2: Automated central mix concrete plant; backhoe (topside); backhoe (tractor mounted, rubber tired); bituminous spreader and mixer; blacktop plant (non automated); blast or rotary drill (truck or tractor mounted); boring machine; cage hoist; central mix plant (non automated) and all concrete batching plants; cherry picker (5 tons capacity and under); compressors (4 or less exceeding 2000 CFM combined capacity; concrete paver (over 16s); concrete pump; crane (topside); crusher; diesel power unit; drill rigs; tractor mounted; front end loader (under 4 cu. yds); grayco epoxy machine; hi-pressure boiler (15 lbs and over); hoist (one drum) hoist; two or three drum (topside); kolman plant loader and similar type loaders; L.C.M. work boat operator; locomotive; maintenance engineer (topside) maintenance greaseman; mixer (for stabilized base self-propelled); monorial machine; plant engineer; peesonneel hoist; pump crete; ready mix concrete plant; refrigeration equipment (from soil stabilization); road widener; roller (all above sub-grade); sea mule; shotcrete machine; shovel (topside); tractor with dozer and or pusher; trencher; tugger hoist; tunnel locomotive; welder; winch; winch cat.

GROUP 3: "A" frame truck; ballast regulator (ride on); compressors (4 not to exceed 2000 cfm combined capacity; or 3 or less with more than 1200 cfm but not to exceed 2000 cfm); compressors (any size but subject to other provisions for compressors; dust collectors; generators; pumps; welding machines; light plants (4 of any type or combination); concrete pavement spreaders and finishers; conveyor; drill (core); drill (well); electric pump used in conjunction with well point system; farm tractor with accessories; fine grade machine; fork lift (under 15 ft); ground pump over 5 cu. ft (manufacturers rateing); gunite machine; hammers (hydraulic self propelled); hydra-spiker (ride

on); hydra blaster (water); hydra blaster; motorized form carrier; post hole digger and post driver; power sweeper; roller (grade and fill); scarifer (ride on); span saw (ride on); submersible electric pump (when used in lieu of well points); tamper (ride on); tie extractor (ride on); tie handler (ride on); tie inserter (rider on); tie spacer (ride on); track liner (ride on); tractor with towed accessories; vibratory compactor; vibro tamp; well point aggregate plant; boiler (used in conjunction with production); cement and bin operator; compressors (3 or less not to exceed 1200 cfm combined capacity); compressors (any size; but subject to other provisions for compressors); dust collectors; generators; pumps; welding machines; light plants (3 or less of any type or combination); concrete paver or mixer (16s and under); concrete saw (self propelled); fireman; form tamper; greaseman; hydraulic pump (jacking system); junior engineer; light plants; mulching machine; oiler; parapet concrete or pavement grinder; power broom (towed); power heaterman (when used for production); revinius widener; shell winder; steam cleaner; tractor.

GROUP 4: Master mechanic

GROUP 5: Crane; friction or lattice type crane with boom length 200 feet and over

 ENGI0832M 07/01/2002

	Rates	Fringes
POWER EQUIPMENT OPERATORS		
BUILDING CONSTRUCTION:		
GROUP 1	27.65	13.45+a
GROUP 2	27.52	13.45+a
GROUP 3	26.39	13.45+a
GROUP 4	25.68	13.45+a
GROUP 5	22.82	13.45+a

FOOTNOTE:

a. PAID HOLIDAYS: New Years Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, and Christmas Day provided the employee works on the work day immediately preceeding the holiday or on the scheduled work day immediately following the holiday.

BUILDING CONSTRUCTION CLASSIFICATIONS

GROUP 1: Master Mechanic

GROUP 2: Crane, Friction or Lattice type with boom length 200 feet and over:

GROUP 3: Asphalt Paver, Automated Concrete Spreader (CMI Type), Automatic Fine Grader, Backhoe (Except Tractor Mounted , Rubber Tired), Belt Placer (CMI Type), Blacktop Plant (Automated), Cableway, Acaisson Auger, Central Mix Concrete Plant (Automated), Cherry Picker (over 5 tons capacity), Concrete Curb Machine,

Self-Propelled, Slipform, Concrete Pump, Crane, Cranes and Derricks (Steel Erection), Dragline, Dredge, Dual Drum Paver, Excavator (All Purpose-Hydraulically Operated), Gradall or Similar, Fork Lift (Factory Rated 15 feet and over), Front End Loader (4 cu. yd. and over), Head Tower, (Sauerman or Equal); Hoist (Two or Three Drum), Holland Loader, Horizontal Directional Drill and Power Ram type equipment, Maintenance Engineer, Mine Hoist, Mucking Machine or Mole, Overhead Crane (Gentry or Straddle Type), Pavement Breaker (self propelled) Wertgen; PB-4 and similar type, Pavement Profiler over 105 horsepower, Pavement Rubbleizer, Pile Driver, Power Grader, Quad 9, Quarry Master (or equivalent), Scraper, Shovel, Side Boom, Slip Form Paver (If a second man is needed, he shall be an Oiler), Tractor Drawn Belt-Type Loader, Truck Crane, Truck or Trailer Mounted Log Chipper (Self Feeder), Tug Operator (Manned Rented Equipment Excluded), Tunnel Shovel.

GROUP 4: Backhoe (Tractor Mounted, Rubber Tired), Bituminous Spreader and Mixer, Blacktop Plant (Non-Automated), Blast or Rotary Drill (Truck or Tractor Mounted), Boring Machine, Cage Hoist, Central Mix Plant (Non-Automated) and All Concrete Batching Plants, Cherry Picker (5 tons capacity and under), Compressors (4 or less) exceeding 2,000 C.F.M. combined capacity, Concrete Paver (Over 16S), Core Drill, Crusher, Diesel Power Unit, Drill Rigs, Hydraulic Krupp type, Drill Rigs, Tractor Mounted, Fork Lift (Factory rated under 15 feet), Front End Loader (Under 4 cu.yd), Hi-Pressure Boiler (15 lbs. and over), Hoist (One Drum), Horizontal Directional Drill Locator, Hydro-Axe, Hydro-Blaster, self-propelled, non-manually operated, Kolman Plant Loader and Similar type Loaders (If employer requires another man to clean the screen or to maintain the equipment, he shall be an Oiler), L.C.M. Work Boat Operator, Locomotive, Log Skidder, Lubrication Engineer/Greaseman, Mixer (for stablized base self-propelled), Monorail Machine, Pavement Profiler 105 horsepower, and under Plant Engineer, Pump Crete, Ready Mix Concrete Plant, Refrigeration Equipment (for soil stablization) Road Widener, Roller (all above sub-grade), Sea Mule, Skid Steer Loader with attachments, Tractor with Dozer and/or Pusher, Trencher, Tugger Hoist, Vacuum Blasting Machine-self propelled, non-manually operated, Vermeer Type Saw, Winch, Winch Cat

GROUP 5: A-Frame Truck, Aggregate Plant, Ballast Regulator, Ride-on, Boiler (used in conjunction with production), Cement and Bin Operator, Compressors (4 not to exceed 2,000 c.f.m. combined capacity; or 3 or less with more than 1,200 c.f.m. but not to exceed 2,000 c.f.m.), Compressors (any size but subject to other provisions for compressors), Dust Collectors, Generators, Pumps, Welding Machines, Light Plants (4 of any type or combination), Concrete Pavement Spreader and Finisher, Concrete Paver or Mixer

(16S and under), Concrete Saw (Self-propelled), Conveyor, Electric Pump used in conjunction with well-point system, Farm Tractor with accessories, Fine Grade Machine, Fireman, Form, Tamper, Grout Pump, Guniting Machine, Hammers (Hydraulic self-propelled), Hydra-Spiker, ride on, Hydraulic Pump (Jacking system), Hydroblaster (low pressure cleaning), Light Plants, Mulching Machine, Oiler, Parapet Concrete or Pavement Grinder, Post Hole Digger and Post Driver, Power Boom (Towed), Power Heaterman, Power Sweeper, Revinuius Widener, Roller (Grade and Fill), Scarifier, ride-on, Shell Winder, Span-Saw, ride-on, Steam Cleaner, Submersible Electric Pump (When used in lieu of well-point system), Tamper, ride on, Tie Extractor, ride-on, Tie Handler, ride-on, Tie Inserter, ride-on, Tie Spacer, ride-on, Track Liner, ride-on, Tractor (with or without towed accessories), Vibratory Compactor, Vibro Tamp, Well Drill, Well Point

IRON0033C 05/01/2002		
	Rates	Fringes
REMAINDER OF COUNTY		
IRONWORKERS		
Structural, ornamental, rodman,		
machinery mover-rigger, fence		
erector precast concrete		
erector, reinforcing, stone		
derrickman	22.00	10.84
Sheeter	22.25	10.84

LABO0435P 07/01/2002		
	Rates	Fringes
LABORERS BUILDING:		
Unskilled Laborer	18.65	7.63
Blaster	20.02	7.63
Powder Monkey	19.52	7.63
Drillers, and asphalt raker	19.05	7.63
Chuck tender	17.35	7.63
Jack hammer, mortar mixers	18.85	7.63
Pipe layers, burners and cutters	18.95	7.63
Concrete vibrators	19.09	7.63
Yardman, clean-up	15.92	7.63
Hazardous waste removal	19.65	7.63
LABORERS HEAVY/HIGHWAY		
GROUP 1	20.00	9.46
GROUP 2	20.70	9.46
GROUP 3	20.90	9.46
GROUP 4	21.70	9.46

GROUP 5 22.20 9.46

HEAVY AND HIGHWAY LABORER CLASSIFICATIONS

- GROUP 1: Flaggers;
- GROUP 2: Laborers
- GROUP 3: Rakers, drillers, pipelayers, and torch operators
- GROUP 4: Blasters
- GROUP 5: Hazardous waste removal

EXCAVATING AND PAVING CONSTRUCTION

GROUP 1	14.44	6.88
GROUP 2	14.74	6.88
GROUP 3	14.69	6.88
GROUP 4	14.64	6.88
GROUP 5	14.84	6.88
GROUP 6	15.49	6.88

EXCAVATING AND PAVING CLASSIFICATIONS

- GROUP 1: Common laborer
- GROUP 2: Meter setter and changers
- GROUP 3: Asphalt raker, powder monkey
- GROUP 4: Pipelayers and tool operators
- GROUP 5: Relining pipes
- GROUP 6: Blasters

PAIN0004M 05/01/2003

	Rates	Fringes
PAINTERS		
Brush & Roll	20.26	10.38
Drywall Taper	20.51	10.38
Spray	20.86	10.38
Wallcovering	20.56	10.38
Sandblasting	21.01	10.38
HEAVY & HIGHWAY CONSTRUCTION:		
Bridge Work	27.22	9.83

PAIN0004P 05/01/2003

	Rates	Fringes
DANSVILLE, NUNDA, OSSIAN, PORTAGE, SPARTA, SPRINGWATER AND WEST SPRATA		
PAINTERS		
Brush and Roller	19.25	9.60
Drywall/Taping	19.90	9.60
Wallcovering, Spray Painting,		
Sandblasting	19.88	9.60
HEAVY & HIGHWAY CONSTRUCTION		
Bridges	26.20	9.66

PAIN0677D 06/01/2000

Rates Fringes

GLAZIERS	20.25	7.76

PLUM0013A 05/01/2002		
	Rates	Fringes
PLUMBERS AND STEAMFITTERS	26.40	9.52

ROOF0022A 06/01/2002		
	Rates	Fringes
ROOFERS	22.00	8.77

SFNY0669A 04/01/2003		
	Rates	Fringes
SPRINKLER FITTER	28.45	6.10

SHEE0046A 05/01/2002		
	Rates	Fringes
SHEET METAL WORKERS	24.92	10.57

TEAM0398A 07/01/1999		
	Rates	Fringes
TRUCK DRIVERS		
GROUP 1	18.45	9.25+a
GROUP 2	18.50	9.25+a
GROUP 3	18.55	9.25+a
GROUP 4	18.70	9.25+a
GROUP 5	18.85	9.25+a

Hazardous Waste Site Work Receives an additional \$1.50 per hr.

FOOTNOTE:

- a. Paid Holidays: New Year's Day; Memorial Day; Independence Day; Labor Day; Thanksgiving Day; Christmas Day provided the employee has worked the working day before and after the holiday.

TRUCK DRIVERS CLASSIFICATIONS

GROUP 1: Pickups, panel trucks, flatboy material trucks (straight jobs), single axle dump trucks, dumpsters, receivers, greaser, truck tireman.
 GROUP 2: Tandems, batch trucks, mechanics.
 GROUP 3: Semi-trailers, low-boy trucks, asphalt distributors trucks, agitator, mixer trucks and dumpcrete type vehicles, truck mechanic, fuel truck.
 GROUP 4: Specialized earth moving equipment - euclid type or similar off-highway equipment, where not self-loader, straddle (ross) carrier, self contained concrete unit.
 GROUP 5: Off-highway tandem back-dump, twin engine equipment and double hitched equipment where not self-loaded.

 WELDERS - Receive rate prescribed for craft performing operation

to which welding is incidental.

=====
Unlisted classifications needed for work not included within
the scope of the classifications listed may be added after
award only as provided in the labor standards contract clauses
(29 CFR 5.5(a)(1)(ii)).

In the listing above, the "SU" designation means that rates
listed under that identifier do not reflect collectively
bargained wage and fringe benefit rates. Other designations
indicate unions whose rates have been determined to be
prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can
be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a
position on a wage determination matter
- * a conformance (additional classification and rate)
ruling

On survey related matters, initial contact, including requests
for summaries of surveys, should be with the Wage and Hour
Regional Office for the area in which the survey was conducted
because those Regional Offices have responsibility for the
Davis-Bacon survey program. If the response from this initial
contact is not satisfactory, then the process described in 2.)
and 3.) should be followed.

With regard to any other matter not yet ripe for the formal
process described here, initial contact should be with the Branch
of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an
interested party (those affected by the action) can request
review and reconsideration from the Wage and Hour Administrator
(See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

The request should be accompanied by a full statement of the
interested party's position and by any information (wage payment
data, project description, area practice material, etc.) that the

requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

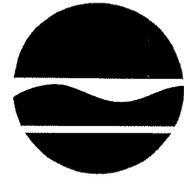
ENGINEER MANUAL EM 385-1-1 CAN BE ACCESSED AT
<http://www.lrb.usace.army.mil/contracting/SafetyManual/SafetyManual.html>

**New York State Department of Environmental Conservation
Division of Environmental Permits, Region 8**

6274 East Avon-Lima Road, Avon, New York 14414-9519

Phone: (585) 226-2466 • **FAX:** (585) 226-2830

Website: www.dec.state.ny.us



Erin M. Crotty
Commissioner

April 30, 2003

Ms. Kathy M. Griffen
Chief, Operations and Readiness Branch
Department of the Army
Buffalo District, Corps of Engineers
1776 Niagara Street
Buffalo, NY 14207

RE: DEC 8-2436-00018/00004
Mount Morris Dam
401 Water Quality Certification
Mount Morris (T), Livingston (C)

Dear Ms. Griffen:

Enclosed is the renewed Water Quality Certification for the above noted facility. Please read the permit carefully and familiarize yourself with all conditions upon which the permit is contingent.

Please contact me within 30 days of the permit issuance date if you identify any condition(s) that can not be met in full or if you have any questions.

With respect to your request for NYSDEC's preliminary review of a proposed long-term wetland restoration project associated with the facility, the Department proposes a preapplication site visit to discuss the concept. The following questions could be discussed at the meeting: Is the annual debris/silt removal something that has triggered mitigation in the past?, Where are the proposed restoration sites?, Do the sites contain federal or state wetlands?, What losses in functions and/or benefits are the restorations designed to mitigate?, Are the sites public or private land (if private are easements or purchase contemplated)? Please contact Scott Jones to set up a site visit at 585-226-5440.

Sincerely,

Kimberly Merchant
Environmental Analyst I

cc: S. Pickard, ACOE
S. Jones, BOH
A. Kirsch, Wildlife

New York State Department of Environmental Conservation
Division of Fish, Wildlife and Marine Resources, Region 8
Bureau of Habitat
6274 East Avon-Lima Road, Avon, New York 14414-9519
Phone: (585) 226-2466 • **FAX:** (585) 226-6323
Website: www.dec.state.ny.us



NOTICE OF INTENT TO COMMENCE WORK

Date: _____

NYS Department of Environmental Conservation
Bureau of Habitat
6274 East Avon-Lima Road
Avon, New York 14414

Re: DEC Permit No. 8-2436-00018/00004

In accordance with Special Condition 15 of the referenced permit, I hereby serve notice to commence work on or about _____, 200__. Notice of project completion will be submitted within one week after termination of all work.

This is also to certify that, having read in entirety the permit, I am fully aware of and understand the general, supplemental and special conditions, and agree to comply in all respects to those requirements. I further understand that prior to undertaking any modification to the authorized project, I must seek and receive the written approval of the Regional Permit Administrator.

WARNING

The Permittee and the contractor (if any) are required to follow all permit conditions. Violation of permit conditions may lead to legal action, including the imposition of substantial monetary fines and corrective work.

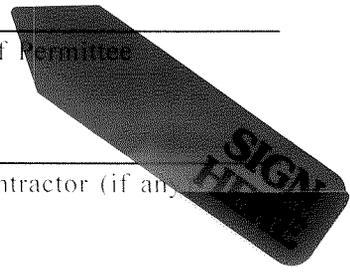
Signature of Permittee

Signature of Contractor (if any)

Contractor's Address

Contractor's Telephone Number

Signature of Landowner(s)



Attention Permittee and/or Contractor: Please fold as noted on the back and return.

DEC PERMIT NUMBER

8-2436-00018/00004



PERMIT
Under the Environmental
Conservation Law

EFFECTIVE DATE

07/01/2003

EXPIRATION DATE(S)

07/01/2008

FACILITY/PROGRAM NUMBER(S)

TYPE OF PERMIT: New Renewal Modification Permit to Construct Permit to Operate

- | | | |
|---|--|---|
| <input type="checkbox"/> Article 15, Title 5: Protection of Waters | <input checked="" type="checkbox"/> 6NYCRR 608: Water Quality Certification | <input type="checkbox"/> Article 27, Title 7; 6NYCRR 360: Solid Waste Management |
| <input type="checkbox"/> Article 15, Title 15: Water Supply | <input type="checkbox"/> Article 17, Titles 7, 8: SPDES | <input type="checkbox"/> Article 27, Title 9; 6NYCRR 373: Hazardous Waste Management |
| <input type="checkbox"/> Article 15, Title 15: Water Transport | <input type="checkbox"/> Article 19: Air Pollution Control | <input type="checkbox"/> Article 34: Coastal Erosion Management |
| <input type="checkbox"/> Article 15, Title 15: Long Island Wells | <input type="checkbox"/> Article 23, Title 27: Mined Land Reclamation | <input type="checkbox"/> Article 36: Floodplain Management |
| <input type="checkbox"/> Article 15, Title 27: Wild, Scenic and Recreational Rivers | <input type="checkbox"/> Article 24: Freshwater Wetlands | <input type="checkbox"/> Articles 1, 3, 17, 19, 27, 37; 6NYCRR 380: Radiation Control |
| | <input type="checkbox"/> Article 25: Tidal Wetlands | |

PERMIT ISSUED TO

U.S. Department of the Army and/or designated contractor

TELEPHONE NUMBER

ADDRESS OF PERMITTEE

Buffalo District, Corps of Engineers, 1776 Niagara Street, Buffalo, NY 14207

CONTACT PERSON FOR PERMITTED WORK

Scott Pickard, USACOE

TELEPHONE NUMBER

716-879-4404

NAME AND ADDRESS OF PROJECT/FACILITY

Mt. Morris Dam and Flood Control Project

LOCATION OF PROJECT/FACILITY

Wetland #: NA

COUNTY

Livingston

VILLAGE

Mt. Morris

WATERCOURSE/WATER BODY

Genesee River

NYTM COORDINATES

DESCRIPTION OF AUTHORIZED ACTIVITY

Remove approximately 5,000 cubic yards of drift and woody debris and approximately 9,000 cubic yards of silt deposits within the Mt. Morris Flood Control Project.

By acceptance of this permit, the permittee agrees that the permit is contingent upon strict compliance with the ECL, all applicable regulations, the General Conditions specified (see page 2) and any Special Conditions included as part of this permit.

PERMIT ADMINISTRATOR

David Bimber

ADDRESS

6274 East Avon-Lima Road
Avon, New York 14414

AUTHORIZED SIGNATURE

DATE

30 Apr 2003

NOTIFICATION OF OTHER PERMITTEE OBLIGATIONS

ITEM A: PERMITTEE ACCEPTS LEGAL RESPONSIBILITY AND AGREES TO INDEMNIFICATION

The permittee expressly agrees to indemnify and hold harmless the Department of Environmental Conservation of the State of New York, its representatives, employees, and agents (DEC) for all claims, suits, actions, and damages, to the extent attributable to the permittee's acts or omissions in connection with the permittee's undertaking of activities in connection with, or operation and maintenance of, the facility or facilities authorized by the permit whether in compliance or not in compliance with the terms and conditions of the permit. This indemnification does not extend to any claims, suits, actions, or damages to the extent attributable to DEC's own negligent or intentional acts or omissions, or to any claims, suits, or actions naming the DEC and arising under Article 78 of the New York Civil Practice Laws and Rules or any citizen suit or civil rights provision under federal or state laws.

ITEM B: PERMITTEE'S CONTRACTORS TO COMPLY WITH PERMIT

The permittee is responsible for informing its independent contractors, employees, agents and assigns of their responsibility to comply with this permit, including all special conditions while acting as the permittee's agent with respect to the permitted activities, and such persons shall be subject to the same sanctions for violations of the Environmental Conservation Law as those prescribed for the permittee.

ITEM C: PERMITTEE RESPONSIBLE FOR OBTAINING OTHER REQUIRED PERMITS

The permittee is responsible for obtaining any other permits, approvals, lands, easements and rights-of-way required to carry out the activities that are authorized by this permit.

ITEM D: NO RIGHT TO TRESPASS OR INTERFERE WITH RIPARIAN RIGHTS

This permit does not convey to the permittee any right to trespass upon the lands or interfere with the riparian rights of others in order to perform the permitted work nor does it authorize the impairment of any rights, title, or interest in real or personal property held or vested in a person not a party to the permit.

GENERAL CONDITIONS

1. FACILITY INSPECTION BY THE DEPARTMENT

The permitted site or facility, including relevant records, is subject to inspection at reasonable hours and intervals by an authorized representative of the Department of Environmental Conservation (the Department) to determine whether the permittee is complying with this permit and the ECL. Such representative may order the work suspended pursuant to ECL 71-0301 and SAPA 401(3).

The permittee shall provide a person to accompany the Department's representative during an inspection to the permit area when written or verbal notification is provided by the Department at least 24 hours prior to such inspection.

A copy of this permit, including all referenced maps, drawings and special conditions, must be available for inspection by the Department at all times at the project site or facility. Failure to produce a copy of the permit upon request by a Department representative is a violation of this permit.

2. RELATIONSHIP OF THIS PERMIT TO OTHER DEPARTMENT ORDERS AND DETERMINATIONS

Unless expressly provided for by the Department, issuance of this permit does not modify, supersede or rescind any order or determination previously issued by the Department or any of the terms, conditions or requirements contained in such order or determination.

3. APPLICATIONS: PERMIT RENEWALS OR MODIFICATIONS

The permittee must submit a separate written application to the Department for renewal, modification or transfer of this permit. Such application must include any forms or supplemental information the Department requires. Any renewal, modification or transfer granted by the Department must be in writing.

The permittee must submit a renewal application at least:

- a) 180 days before expiration of permits for State Pollutant Discharge Elimination System (SPDES), Hazardous Waste Management Facilities (HWMF), major Air Pollution Control (APC) and Solid Waste Management Facilities (SWMF); and
- b) 30 days before expiration of all other permit types.

Submit applications for permit renewal or modification to:
NYSDEC Regional Permit Administrator, Region 8
6274 East Avon-Lima Road; Avon, NY 14414

4. PERMIT MODIFICATIONS, SUSPENSIONS AND REVOCATIONS BY THE DEPARTMENT

The Department reserves the right to modify, suspend or revoke this permit in accordance with 6 NYCRR Part 621. The grounds for modification, suspension or revocation include:

- a) materially false or inaccurate statements in the permit application or supporting papers;
- b) failure by the permittee to comply with any terms or conditions of the permit;
- c) exceeding the scope of the project as described in the permit application;
- d) newly discovered material information or a material change in environmental conditions, relevant technology or applicable law or regulations since the issuance of the existing permit;
- e) noncompliance with previously issued permit conditions, orders of the Commissioner, any provisions of the Environmental Conservation Law or regulations of the Department related to the permitted activity.

DEC PERMIT NUMBER

8-2436-00018/00004

FACILITY ID NUMBER

PROGRAM NUMBER

5. That if future operations by the State of New York require an alteration in the position of the structure or work herein authorized, or if, in the opinion of the Department of Environmental Conservation it shall cause unreasonable obstruction to the free navigation of said waters or flood flows or endanger the health, safety or welfare of the people of the State, or cause loss or destruction of the natural resources of the State, the owner may be ordered by the Department to remove or alter the structural work, obstructions, or hazards caused thereby without expense to the State, and if, upon the expiration or revocation of this permit, the structure, fill, excavation, or other modification of the watercourse hereby authorized shall not be completed, the owners, shall, without expense to the State, and to such extent and in such time and manner as the Department of Environmental Conservation may require, remove all or any portion of the uncompleted structure or fill and restore to its former condition the navigable and flood capacity of the watercourse. No claim shall be made against the State of New York on account of any such removal or alteration.
6. That the State of New York shall in no case be liable for any damage or injury to the structure or work herein authorized which may be caused by or result from future operations undertaken by the State for the conservation or improvement of navigation, or for other purposes, and no claim or right to compensation shall accrue from any such damage.
7. Granting of this permit does not relieve the applicant of the responsibility of obtaining any other permission, consent or approval from the U.S. Army Corps of Engineers, U.S. Coast Guard, New York State Office of General Services, or local government which may be required.
8. All necessary precautions shall be taken to preclude contamination of any wetland or waterway by suspended solids, sediments, fuels, solvents, lubricants, epoxy coatings, paints, concrete, leachate or any other environmentally deleterious materials associated with the project.
9. Any material dredged in the conduct of the work herein permitted shall be removed evenly, without leaving large refuse piles, ridges across the bed of a waterway or floodplain or deep holes that may have a tendency to cause damage to navigable channels or to the banks of a waterway.
10. There shall be no unreasonable interference with navigation by the work herein authorized.
11. If upon the expiration or revocation of this permit, the project hereby authorized has not been completed, the applicant shall, without expense to the State, and to such extent and in such time and manner as the Department of Environmental Conservation may require, remove all or any portion of the uncompleted structure or fill and restore the site to its former condition. No claim shall be made against the State of New York on account of any such removal or alteration.
12. If granted under Article 36, this permit does not signify in any way that the project will be free from flooding.
13. If granted under 6 NYCRR Part 608, the NYS Department of Environmental Conservation hereby certifies that the subject project will not contravene effluent limitations or other limitations or standards under Sections 301, 302, 303, 306 and 307 of the Clean Water Act of 1977 (PL 95-217) provided that all of the conditions listed herein are met.
14. All activities authorized by this permit must be in strict conformance with the approved plans submitted by the applicant or his agent as part of the permit application.
Such approved plans were prepared by the U.S. Army Corps of Engineers, sheet 2 of 7 ("Work Plan"), and received 3/24/03.

SPECIAL CONDITIONS

15. Enclosed is a permit poster which must be displayed at a conspicuous location on the work site and adequately protected from the weather, for the duration of the construction period.
16. The NOTICE OF INTENT TO COMMENCE WORK that has been provided with this permit must be completed and returned to the Department at least 48 hours before work on the project is begun.
17. The Permittee shall require that any contractor, project engineer, or other person responsible for the overall supervision of this project reads, understands and complies with this permit, including all special conditions to prevent environmental degradation.
18. Erodible materials shall be promptly stabilized. Introduction of sediments or other pollutants into state wetlands and waterways is strictly prohibited.

SEE PAGE 4 FOR ADDITIONAL SPECIAL CONDITIONS

DEC PERMIT NUMBER

8-2436-00018/00004

FACILITY ID NUMBER

PROGRAM NUMBER

SPECIAL CONDITIONS
For Article 15 (Protection of Waters)

19. In addition to plans referenced in General Condition 14, the activities authorized by this permit must be in strict conformance with the following approved plans and/or submissions made as part of the permit application:
 - a. letter to Robert Scott, NYSDEC, from Kathy Griffin, USACE, received in Division of Environmental Permits March 24, 2003.
20. As required by General Condition 3, if the Permittee desires to make any changes in construction techniques, species to be planted, the site plan, any mitigation plan, scheduling or staging of construction, or any other aspect of this project, the Permittee shall submit a written request to the DEC to make such proposed changes and shall not make such changes unless authorized in writing by the DEC.^[3]
21. All construction activity—including operation of machinery, excavation, filling, grading, clearing vegetation, disposal of waste, street paving, and stockpiling of material—is to take place within the construction area as depicted on the plans referenced by this permit. No construction activity is to take place within areas to be left in a natural, undisturbed condition.^[9]
22. Work operations in the navigable waterway, stream, or wetland are prohibited from March 1 through June 30.^[16]
23. All excavated materials, excess and waste materials, spoil, or debris from the project site shall be removed to a permissible, legal upland location and not placed in any portion of the protected waterway, stream bed or banks, wetland, 100-foot adjacent area, or areas depicted as 100-year flood hazard areas on the FEMA map prepared to identify such areas. These materials must be disposed of in accordance with all local, state, and federal statutes, regulations, or ordinances.^[27]
24. Any banks subjected to erosion as a result of construction must be protected during the construction period.^[71]
25. Soil and/or excavated materials may not be stored on top of stream banks, where materials could erode into the stream or cause the bank to collapse.^[72]
26. Stream banks must be protected from erosion within two (2) days of stream bank work completion. If the bank protection consists of seeding and mulching, growth of vegetative cover must become successfully established to Department satisfaction. If vegetation fails to successfully establish, the area must be replanted until successful vegetation establishment is achieved.^[73]
27. Clearing of natural vegetation shall be limited to that material which poses a hazard or a hindrance to the construction.^[118]

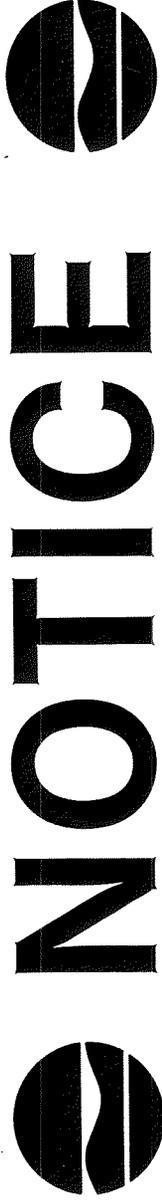
DEC PERMIT NUMBER

8-2436-00018/00004

FACILITY ID NUMBER

PROGRAM NUMBER

New York State
Department of Environmental Conservation



The Department of Environmental Conservation (DEC) has issued permit(s) pursuant to the Environmental Conservation Law for work being conducted at this site. For further information regarding the nature and extent of work approved and any Departmental conditions on it, contact the Regional Permit Administrator listed below. Please refer to the permit number shown when contacting the DEC.

Deputy Regional Permit Administrator

Permit Number 8-2436-00018/00004

Expiration Date 10/31/05

NOTE: This notice is **NOT** a permit

CELESTINI
MAILROOM



REPLY TO
ATTENTION OF:

DEPARTMENT OF THE ARMY

BUFFALO DISTRICT, CORPS OF ENGINEERS
1776 NIAGARA STREET
BUFFALO, NEW YORK 14207-3199

Operations and Technical Support Section

SUBJECT: Mount Morris Dam, Livingston County, New York - Section 401 State Water Quality Certification for Annual Drift and Debris Removal (DEC 8-2463-00018/00002)

Mr. Robert K. Scott
Deputy Regional Permit Administrator
New York State Department of Environmental Conservation
Division of Environmental Permits, Region 8
6274 East Avon-Lima Road
Avon, New York 14414-9519

Dear Mr. Scott:

The purpose of this letter is to request a five-year renewal of New York State Department of Environmental Conservation (NYSDEC) Water Quality Certification for our annual drift and debris removal operations at Mount Morris Dam, Livingston County, New York. In accordance with Section 401 of the Clean Water Act, State Water Quality Certification is required for certain activities associated with the operations. The existing Section 401 Certification, DEC 8-2463-00018/00002, expires on July 31, 2003. The USACE Public Notice describes the scheduled 2003 drift and debris operation in detail (Enclosure 1).

The objective of yearly drift and debris removal operations at Mount Morris Dam is to remove accumulated upstream material that can clog the conduits through the dam. Unobstructed river flows through the conduits facilitate the safe, effective and efficient operation of the flood control project. The removal operations would be accomplished in a manner and scope to what has occurred in past years, as addressed in previous public notices and the 1994 Section 404(b)(1) Evaluation, and within the conditions of DEC 8-2463-0001/00002. On an annual basis, approximately 14,000 cubic yards of drift and debris would be removed from the Genesee River gorge, just upstream of the dam in the vicinity of the existing trash boom. About 5,000 cubic yards of suitable wood materials would be chipped and stockpiled for reuse at a Government or Contractor-furnished site. About 9,000 cubic yards of excavated silt would be spread onto the floodplain/mud flats in the gorge, just upstream of the dam at sites approved by the U.S. Army Corps of Engineers (USACE). Any accumulated non-floatable materials, which would include tires, cans, glass and plastic (estimated at 100 cubic yards), would be properly disposed in either an off-site, Contractor-furnished, USACE-approved and NYSDEC-permitted solid waste facility, or would be recycled in accordance with applicable Federal, State and local regulations. None of this material would be burned on-site or buried in the gorge. In addition,

SUBJECT: Mount Morris Dam, Livingston County, New York - Section 401 State Water Quality Certification for Annual Drift and Debris Removal (DEC 8-2463-00018/00002)

the drift and debris removal operations may require the rehabilitation of the access road in the gorge by the placement of about 300 cubic yards of gravel fill. All work would be scheduled to occur between July 1 and March 1 during any given year.

We are also taking this opportunity to solicit NYSDEC's preliminary review of a long-term wetlands restoration concept associated with annual Mount Morris Dam drift and debris removal operations. This concept would involve the utilization of excavated silt/clay to incrementally restore and/or enhance wetlands and mudflats that are upstream of the dam and adjacent to the Genesee River. In order to provide suitable wetlands conditions, this plan would require some stabilization of the disposal area with appropriate hydrology and drainage associated with the Genesee River. The restoration areas would have to be designed to maintain their integrity under flood conditions, and have the ability to maintain adequate wetlands hydrology throughout the relative dry, non-flood control periods (note that the reservoir area of the dam is dry, on average, about 80 percent of the year). For example, the use of containment dikes would serve these purposes. The implementation of such environmental restoration measures may require non-Federal cost-sharing.

Please advise us regarding the status of our request to renew the Section 401 Certification by May 5, 2003. In addition, we look forward to your comments on the wetlands restoration concept under separate letter. We sincerely appreciate your cooperation in this matter.

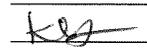
Questions pertaining to this matter should be directed to Mr. Scott W. Pickard at (716) 879-4404, by writing to the following address: U.S. Army Corps of Engineers, 1776 Niagara Street, Buffalo, New York 14207-3199, or by e-mail at scott.w.pickard@usace.army.mil.

Sincerely,

Kathy M. Griffin
Chief
Operations and Readiness Branch

Enclosure

CF:
CELRB-TD-OT
CELRB-TD-ONN
CELRB-TD-ONM
CELRB-TD-EA

Pickard	
Klaassen	
Parson	_____
Asquith	_____
Griffin	



US Army Corps
of Engineers

Public Notice

No. 03-07 Issuing Office: CELRB-TD-OT Issue Date: 21 March 2003 Exp. Date: 21 April 2003

REPLY TO
ATTENTION OF:

Operations and Technical Support Section

**OPERATION AND MAINTENANCE
DRIFT AND DEBRIS REMOVAL
MOUNT MORRIS DAM FLOOD CONTROL PROJECT
GENESEE RIVER
LIVINGSTON COUNTY, NEW YORK**

This Public Notice has been prepared and distributed pursuant to Section 404 (a) of the Clean Water Act (33 USC 1344), and U.S. Army Corps of Engineers (USACE) regulation, "Discharge of Dredged Material into Waters of the U.S. or Ocean Waters; Operation and Maintenance; Final Rule" (53 Federal Register, 26 April 1988), including 33 CFR Part 337, "Practice and Procedure" and 33 CFR Part 338, "Other Corps Activities Involving the Discharge Dredged Material or Fill into Waters of the U.S." Its purpose is to specify what dredged/fill materials would be discharged into Waters of the United States by implementation of the proposed project, and advise all interested parties of the proposed project and to provide an opportunity to submit comments, or request a public hearing.

The USACE, Buffalo District, anticipates the need to remove drift and debris from the Genesee River gorge on the upstream side of Mount Morris Dam, in order to maintain the effective and efficient operation of the existing flood control project. This accumulated material must be removed to prevent the clogging of conduits that allow river water to flow through the Dam. Figure 1 (attached) shows the location and plan view of Mount Morris Dam.

The 2003 drift and debris removal operation is tentatively scheduled to be performed during the period between July 1 and October 31.

The drift and debris removal operation would be accomplished in a manner and scope similar to what has occurred over the past five years. The project will be accomplished by a private firm contracted by the Federal government. The major construction equipment required to complete the work may include bulldozers, front-end loaders, crane and dump trucks. Figure 2 (attached) shows the work site for the removal operation in the Genesee River gorge. On an annual basis, approximately 14,000 cubic yards of drift and debris would be removed from the upstream side of the dam in the vicinity of the existing trash boom. About 5,000 cubic yards of suitable wood materials would be chipped and stockpiled for reuse at a Government or Contractor-furnished upland site. About 9,000 cubic yards of excavated silt/clay would be spread onto the floodplain/mud flats in the Genesee River gorge, just upstream of the dam (see Figure 2) at specific sites approved by the USACE. This site has been used to dispose of silt routinely in past years. The placement of dredged/fill material below the Ordinary High Water (OHW) Mark of the Genesee River constitutes the discharge of dredged material into Waters of the United States. Any accumulated non-floatable material (about 100 cubic yards), which includes tires, cans, glass and plastic, would be disposed in either an off-site, Contractor-furnished and New York State Department of Environmental Conservation (NYSDEC)-permitted solid waste facility approved by the USACE, or recycled in accordance with applicable Federal, State and local regulations. None of the drift and debris material removed would be burned on-site or buried in the gorge. In addition, the drift and debris removal activities would require the reconstruction of an access road in the gorge by the placement of about 300 cubic yards of gravel fill, some of which will constitute a discharge of fill material.

Under Section 401 of the Clean Water Act, the NYSDEC has previously issued State Water Quality Certification for this project (DEC 8-2436-00018/00002). The USACE, Buffalo District, is requesting a five-year extension to this Section 401 Certification.

The proposed disposal area for the excavated silt/clay is located on a floodplain that is within the authorized Mount Morris Dam reservoir area in the Genesee River gorge. This reservoir area does not appear on National Flood Insurance Maps, and it is not regulated as a floodway under the National Insurance Act of 1968, or Executive Order 11988, Floodplain Management. Consequently, the proposed drift and debris removal operations would not encroach on any regulatory floodways.

The environmental effects of the operation are documented in the *Final Environmental Impact Statement (FEIS), Operation and Maintenance, Mount Morris Flood Control Project, Livingston County New York (1975), Section 404(b)(1) Evaluation, Operation and Maintenance, Debris Removal Operations, Mount Morris Dam, Livingston County, New York (1994)*. These documents, and supplemental documentation, are available for examination at the Buffalo District office.

There are no registered historic properties or properties listed as being eligible for inclusion in the National Register of Historic Places that will be affected by this project. By this notice, the National Park Service is advised that currently unknown archaeological, scientific, prehistorical or historical data may be lost or destroyed by the work to be accomplished.

Based on the review of the available environmental data, we have determined that the proposed work will not affect any species proposed or designated by the U.S. Department of the Interior as threatened or endangered, nor will it affect the designated critical habitat of any such species. Therefore, unless additional information indicates otherwise, no further formal consultation pursuant to Section 7 of the Endangered Species Act Amendments of 1978 will be undertaken with the U.S. Fish and Wildlife Service.

Any person who has an interest which may be affected by the discharge of this dredged or fill material may request a public hearing. The request must be submitted in writing to the undersigned within 30 days of the date of this Public Notice. The request must clearly set forth the interest which may be affected, and the manner in which the interest may be affected, by this activity.

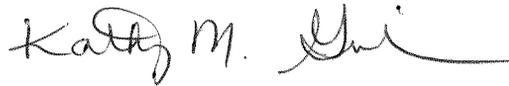
This activity is being coordinated with the following agencies, as well as other appropriate Federal, State and local agencies and organizations:

New York State Department of Environmental Conservation
New York State Department of State
New York State Office of Parks, Recreation and Historic Preservation
U.S. Coast Guard
U.S. Department of the Interior, Fish and Wildlife Service
U.S. Environmental Protection Agency

Questions and comments concerning this project should be referred to Mr. Scott W. Pickard of my Operations and Technical Support Section, who may be contacted by calling 716-879-4404 (FAX 716-879-4357), or by writing to the following address:

District Engineer
Department of the Army
U.S. Army Engineer District, Buffalo
Corps of Engineers
ATTN: CELRB-TD-OT
1776 Niagara Street
Buffalo, New York 14207-3199

This Public Notice is published in conformance with 33 CFR Part 337.1. All dredged material or fill discharge will be performed in conformance with Sections 313 and 404 of the Clean Water Act (33 USC 1323 and 1344, respectively).



KATHY M. GRIFFIN
Chief
Operations and Readiness Branch

Attachments

NOTICE TO THE POSTMASTER: It is requested that the above notice be conspicuously displayed for 30 days from the date of issuance.

EXISTING GRAVEL ACCESS ROAD
TO BE RECONSTRUCTED

POWER LINES

MT. MORRIS
DAM

LOG BOOM

EXISTING
GATE

STOCKPILE
SITE

GRADE AND REDRESS
EXISTING HAUL ROAD

RIVER

GENESEE

LEGEND:

FIGURE 2



LIMITS OF DEBRIS REMOVAL
AND SILT DISPOSAL AREA

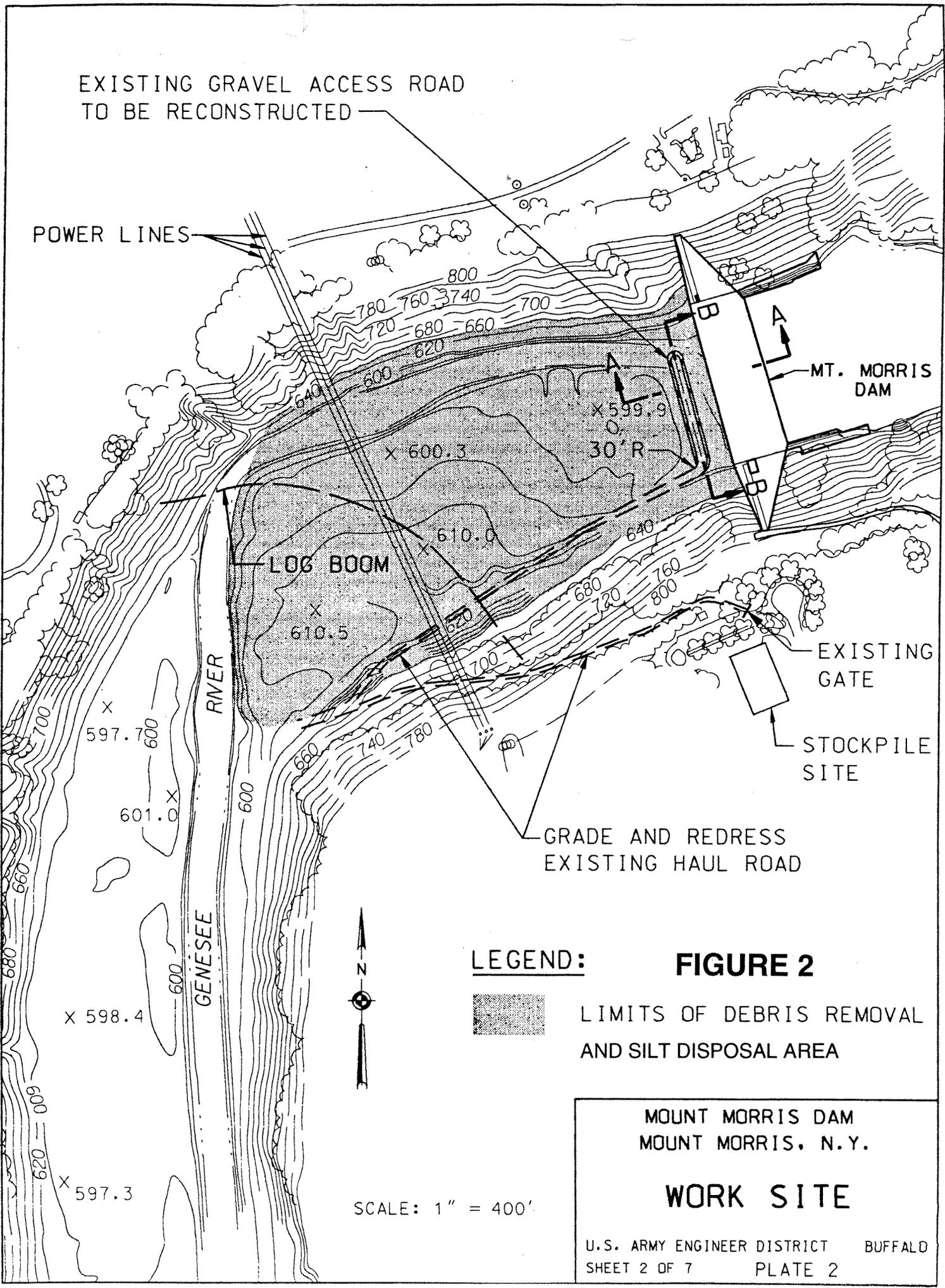


SCALE: 1" = 400'

MOUNT MORRIS DAM
MOUNT MORRIS, N.Y.

WORK SITE

U.S. ARMY ENGINEER DISTRICT BUFFALO
SHEET 2 OF 7 PLATE 2



U. S. Army Corps of Engineers Buffalo, NY. District Safety and Occupational Health Office

CONTRACTOR ACCIDENT PREVENTION PLAN (APP) CHECKLIST (EM 385-1-1, Appendix - A, dated; 3 Nov. 03)

Minimum Basic Outline for Accident Prevention Plan

The APP is the Contractor Safety and Health Program Document. The following Site Specific Areas will be addressed:

NOTE: 1. Contractor will complete Checklist and Submit with their APP.

NOTE: 2. Contractor APP WILL be submitted in format below.

NOTE: 2. LRB-SO will review Contractor APP and return to PM / COR.

NOTE: 3. Contractor APP's ARE NOT APPROVED by the USACE, only found as Acceptable or Non-Acceptable.

Safety Office Review Status: ACCEPTED BY-DATE: _____ NOT ACCEPTED BY/DATE: _____

Contractor Name:

Contract No:

Project Title & Location:	Included ?			Page(s)
	Yes	No	N/A	
ALL CHECKLIST ITEMS WILL BE COMPLETED!				
1. SIGNATURE SHEET. Title, signature, and phone number of the following:				
a. <i>Plan Preparer</i> (qualified person such as corporate safety staff person, QC).				
b. <i>Plan Approval</i> by company/corporate officers authorized to obligate the company (e.g. owner company president, regional vice president etc.)				
c. <i>Plan Concurrence</i> (e.g. Chief of Operations, Corporate Chief of Safety, Corporate Industrial Hygienist, project manager or superintendent, project safety professional, project QC) . (provide concurrence of other applicable corporate and project personnel (Contractor)				
2. BACKGROUND INFORMATION. List the following:				
a. Contractor;				
b. Contract number;				
c. Project name;				
d. Brief project description, description of work to be performed, and location map.				
e. Contractor accident experience (provide OSHA 300 Log, EMR, etc.)				
f. Listing of phases of work and hazardous activities requiring AHA's -Activity Hazards Analyses.				
3. STATEMENT OF SAFETY AND HEALTH POLICY. Provide a copy of your current corporate/company Safety & Health Policy Statement.				
4. RESPONSIBILITIES AND LINES OF AUTHORITIES.				
a. Identification and accountability of personnel responsible for safety - at both corporate and project level (contracts specifically requiring safety or industrial hygiene personnel should include a copy of their resume - the District Safety and Occupational Health Office will review the qualifications for acceptance).				
b. Lines of authority				
5. SUBCONTRACTORS AND SUPPLIERS. Provide the following:				
a. Identification of subcontractors and suppliers (if known);				
b. Means for controlling and coordinating subcontractors and suppliers; and				
c. Safety responsibilities of subcontractors and suppliers.				
6. TRAINING.				
a. List subjects to be discussed with employees in safety indoctrination.				
b. List mandatory training and certifications which are applicable to this project (e. g., explosive actuated tools, confined space entry, crane operator, diver, vehicle operator, HAZWOPER training and certification, personal protective equipment) and any requirements for periodic retraining/recertification.				
c. Identify requirements for emergency response training.> See paragraph 12.b. below for operations that may require emergency response training..				
d. Outline requirements (who attends, when given, who will conduct etc.) for supervisory and employee safety meetings.				
7. SAFETY AND HEALTH INSPECTIONS. Provide details on:				
a. Who will conduct safety inspections (e.g., project manager, safety professional, QC, supervisors, employees, etc.), proof of inspector's training/qualifications, when inspections will be conducted, how the inspections will be recorded, deficiency tracking system, follow-up procedures, etc. The names of competent and/or qualified person(s) and proof of competency/qualification to meet specific OSHA requirements must be attached.				

U. S. Army Corps of Engineers Buffalo, NY. District Safety and Occupational Health Office

CONTRACTOR ACCIDENT PREVENTION PLAN (APP) CHECKLIST (EM 385-1-1, Appendix - A, dated; 3 Nov. 03)

Minimum Basic Outline for Accident Prevention Plan

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Safety Office Review Status: ACCEPTED BY-DATE: _____ NOT ACCEPTED BY/DATE: _____

Contractor Name:

Contract No:

Project Title & Location:	Included ?			Page(s)
	Yes	No	N/A	
b. Any external inspections/certifications that may be required (e.g., Coast Guard).				
8. SAFETY AND HEALTH EXPECTATIONS, INCENTIVE PROGRAMS, AND COMPLIANCE.				
a. The company's written safety program goals, objectives, and accident experience goals for this contract should be provided.				
b. A brief description of the company's safety incentive programs (if any) should be provided.				
c. Policies and procedures regarding noncompliance with safety requirements (to include disciplinary actions for violation of safety requirements) should be identified.				
d. Provide written company procedures for holding managers and supervisors accountable for safety.				
9. ACCIDENT REPORTING. The contractor shall identify who, how, and when the following will be completed:				
a. Exposure data (man-hours worked);				
b. Accident investigations, reports and logs;				
c. Immediate notification of major accidents.				
10. MEDICAL SUPPORT. Outline on-site medical support and off-site medical arrangements including rescue and medical duties for those employees who are to perform them, and the name(s) of on-site Contractor personnel trained in First Aid and CPR.				
11. PERSONAL PROTECTIVE EQUIPMENT (PPE). Outline procedures (who, when, how) for conducting hazard assessments and written certifications for use of PPE. Outline procedures to be followed to assure the proper use, selection, and maintenance of PPE and life saving equipment (e.g. protective footwear-gloves-hard hats-glasses-hearing protection-harnesses-lanyards, etc.				
12. PLANS (PROGRAMS, PROCEDURES) REQUIRED BY THE SAFETY MANUAL (as applicable).				
a. Layout Plans (04.A.01)				
b. Emergency Response Plans that include:				
(1) Procedures & Test (01.E.01)				
(2) Spill Plans (01.E.01, 06.A.02)				
(3) Firefighting Plan (01.E.01, 19.A.04)				
(4) Posting of Emergency Telephone Numbers (01.E.05)				
(5) Wild-Land Fire Prevention Plan (09.K.01)				
(6) Man overboard/abandon ship (19.A.04)				
c. Hazard Communication (HAZCOMM) Program (01.B.06)				
d. Respiratory Protection Plan (05.E.03)				
e. Health Hazard Control Program (06.A.02)				
f. Lead Abatement Plan (06.B.05 & specifications)				
g. Asbestos Abatement Plan (06.B.05 & specifications)				
h. Abrasive Blasting (06.H.01)				
i. Confined Space (06.I)				
j. Hazardous Energy Control Plan/Lockout-Tagout (12.A.07)				
k. Critical Lift Procedures (16.C.18)				
l. Contingency Plan for Severe Weather (19.A.03)				

U. S. Army Corps of Engineers Buffalo, NY. District Safety and Occupational Health Office

CONTRACTOR ACCIDENT PREVENTION PLAN (APP) CHECKLIST (EM 385-1-1, Appendix - A, dated; 3 Nov. 03)

Minimum Basic Outline for Accident Prevention Plan

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Safety Office Review Status: ACCEPTED BY-DATE: _____ NOT ACCEPTED BY/DATE: _____

Contractor Name:

Contract No:

Project Title & Location:	Included ?			Page(s)
	Yes	No	N/A	
m. Access and haul road plan (8.D.1)				
n. Demolition Plan (engineering and asbestos surveys) (23.A.01)				
o. Emergency Rescue (tunneling) (26.A.05)				
p. Underground Construction Fire Prevention-Protection Plan (26.D.01)				
q. Compressed Air Plan (26.I.01)				
r. Formwork and Shoring Erection and Removal Plans (27.B.02)				
s. Jacking (lift) Slab Plans (27.D.01)				
t. For HTRW work, a Site Specific Safety & Health Plan must be submitted and shall contain all information required by the APP-Accident Prevention Plan - two documents are not required (28.A.02)				
u. Blasting Plan (29.A.01)				
v. Diving Plan (30.A.13)				
w. Plan for prevention of alcohol and drug abuse (Defense Federal Acquisition Regulation Supplement (DFARS) Subpart 252.223-7004)				
x. Fall Protection Plan (Section 21)				
y. Steel Erection Plan (27.E.01)				
z. Night Operations Lighting Plan (16.C.19.d)				
aa. Site Sanitation Plan (Section 02)				
bb. Fire Prevention Plan (09.A.01)				
13. The contractor shall provide information on how they will meet the requirements of applicable Sections of this Manual in the APP. As a minimum, excavations, scaffolding, medical and first aid requirements, sanitation, PPE, fire prevention, machinery and mechanized equipment, electrical safety, public safety requirements, and chemical, physical agent, and biological occupational exposure prevention requirements shall be addressed as applicable.				
14. SITE-SPECIFIC HAZARDS & CONTROLS. Detailed site-specific hazards and controls shall be provided in the AHA for each activity of the operation.				

SAMPLE ACCIDENT PREVENTION PLAN TEMPLATE

ITEMS INDICATED IN RED TEXT SHOULD BE COMPLETED BY THE CONTRACTOR. INDICATE “N/A” IF NOT APPLICABLE.

NOTE: A Microsoft® Word file of the Sample Accident Prevention Plan Template is included in the folder entitled “Template” on this CD-ROM.

(sample)
ACCIDENT PREVENTION PLAN
Name of Project
Contract No. W912P4-##-#-####
Contractor

1. SIGNATURE SHEET.

This Accident Prevention Plan was

Prepared By:

Name
Title of corporate safety staff person

Approved By:

Name
President

Plan Concurrence By:

Name
CQC Manager

Name
Project Superintendent

Name
Project Safety Manager

2. BACKGROUND INFORMATION

- a. Contractor : *Name*
 Address
 City, State Zip
- b. Contract Number W912P4-##-#-####
- c. Project Name: *Enter Name of Project*
- d. Project Description: *Enter description of work*
- e. The Major definable features of work are listed in the Quality Control Plan. An Activity Hazard Analysis (AHA) will be prepared for each Major Definable Feature of Work. AHAs will be prepared in accordance with the format shown on page 4 of EM 385-1-1 and will be presented and discussed at the Preparatory Phase Inspection for the applicable feature of work.

3. STATEMENT OF SAFETY AND HEALTH POLICY

Enter statement which describes the company's commitment to safety.

4. RESPONSIBILITIES AND LINES OF AUTHORITIES

- a. *Name - Describe responsibility and accountability of personnel responsible for safety at corporate level.*
- b. *Name - Describe responsibility and accountability of personnel responsible for safety at project level.*
- c. Lines of authority - *Describe lines of authority (as related to safety) for this project.*

5. SUBCONTRACTORS AND SUPPLIERS.

- a. The following subcontractors will be working on this project:
 1. *List (or none)*
- b. The CQC System Manger *NAME* will be responsible for controlling and coordinating subcontractors and suppliers.
- c. All subcontractors and suppliers performing work on site will be expected to conform to the requirements of this Accident Prevention Plan and to the requirements of EM 385-1-1.

6. TRAINING.

- a. Each employee will receive a safety indoctrination consisting of a thorough review of applicable AHA' s.
- b. The following is a list of training and certifications which are applicable to this project:

1. Confined Space Entry
2. HAZWOPER Training and Certification
3. Personal Protective Equipment
4. First Aid and CPR
5. Man overboard rescue
6. Emergency Response Plan
7. *Other*

c. Weekly toolbox safety meeting will be conducted at *TIME* every *ENTER DAY OF WEEK*. Each on site worker will be required to attend. Attendance will be documented. *Name* will be responsible for conducting these meetings.

7. SAFETY AND HEALTH INSPECTIONS.

a. *Name* will conduct site safety inspections on a daily basis. Any noted deficiencies will be identified on that day's CQC Report. Deficiencies will be tracked using the table included as Attachment # to this Accident Prevention Plan.

b. The following external inspections/certifications are required for this project:

List or enter "None Required".

8. SAFETY AND HEALTH EXPECTATIONS, INCENTIVE PROGRAMS, AND COMPLIANCE

a. *Provide a statement or statements describing the company's written safety program goals, objectives, and accident experience goals for this contract.*

b. *Provide a brief description of the company's safety incentive programs (if any). If none, so state.*

c. *Provide a discussion of the company's policies and procedures regarding noncompliance with safety requirements (to include disciplinary actions for violation of safety requirements).*

d. *Provide written company procedures for holding managers and supervisors accountable for safety.*

9. ACCIDENT REPORTING

a. *Name* will submit Monthly Manhour Exposure Reports to the Contracting Officer no later than the 5th work day of each month. The report encompasses on-site work including all hourly and salaried employees. The report will include all subcontractors working on this project.

b. *Name* will report all accidents and injuries no matter how slight. Furthermore, *Name* will immediately notify the Contracting Officer and District Safety Officer of any incidents involving fatality or permanent total disability, accidents in which three or more persons are hospitalized, accidents that result in property damage in excess of \$100,000 or any accident regardless of the consequences, if it is suspected that it will result in unfavorable criticism of the Corps of Engineers.

10. MEDICAL SUPPORT

- a. A list of emergency telephone numbers and a map of directions to the nearest hospital(s) is included in the Emergency Response Plan section of this Accident Prevention Plan.
- b. First aid kits will be maintained on site as required in Section 3 of EM 385-1-1.
(Provide plan view showing location of all first aid kits and fire extinguishers.)
- c. At least two employees on each shift will be qualified to administer first aid and CPR. Individuals who are required to work alone in remote areas shall be trained in first aid. The following employees are certified in First Aid and CPR and a copy of their current certificates are included as Attachment # to this Accident Prevention Plan:

1. *List*

11. PERSONAL PROTECTIVE EQUIPMENT.

- a. *Outline procedures (who, when, how) for conducting hazard assessments and written certifications for use of personal protective equipment.*

12. PLANS (PROGRAMS, PROCEDURES) REQUIRED BY THE SAFETY MANUAL (as applicable)

a. Hazard Communication (HAZCOM) Program (01.B.04)

Included as Attachment # to this Accident Prevention Plan is a written hazard communication program addressing as a minimum, the following: training (to include potential safety and health effects from exposure), labeling, current inventory of hazardous chemicals on site, and the location and use of Material Safety Data Sheets (MSDSs).

b. Emergency Response Plans (01.E.01, 01.E.05, 06.A.02, 19.A.04, 09.K.01 and 09.K.02)

An Emergency Response Plan is included as Attachment # to this Accident Prevention Plan. This Emergency Response Plan includes:

An Emergency Response Plan to ensure employee safety in case of fire or other emergency, including emergency telephone numbers and reporting instructions for ambulance, physician, hospital, fire, and police. Also a map of directions to the nearest hospital(s). This list and map shall be conspicuously posted at the work site

A Spill Response Plan including organizations with telephone numbers of individuals to contact in the event of a spill.

c. Layout plans (04.A.01) *(If applicable)*

Plans for the layout of temporary construction buildings, facilities, fencing and access routes and anchoring systems for temporary structure are included as Attachment # to this Accident Prevention Plan.

d. Respiratory Protection Plan (05.E.01) *(If applicable)*

Name of Company's Respiratory Protection Plan is included as Attachment # to this Accident Prevention Plan.

e. Health Hazard Control Program (06.A.02)

Activity Hazard Analyses (AHA's) shall consider all substances, agents and environments that present a hazard and will recommend hazard control measures. Engineering and administrative controls shall be used to control hazards. In cases where engineering or administrative controls are not feasible, PPE may be used. The AHA shall serve as certification that a hazard assessment has been conducted.

Operations, materials, and equipment involving potential exposure to hazardous substances, agents or environments will be evaluated by a qualified industrial hygienist, or other competent person, to formulate a hazard control program. The following hazardous substances, agents or environments have been identified:

List (This list may be revised during the performance of work on this project.)

f. Abrasive Blasting (06.H.01) (If applicable)

Operational procedures for abrasive blasting operations are included as Attachment # to this Accident Prevention Plan. Employees will be trained in these procedures and will be advised where these written procedures and health information are available on the premises for review.

g. Confined Space (06.I) (If applicable)

Name will inspect the work area and evaluate the potential for permit-required confined spaces (PRCSs). This inspection will occur before work begins, whenever the characteristics of spaces change in a way that could lead to a reclassification as a PRCS and at least annually. A list of confined spaces (permit required and non-permit required) will be maintained on site.

h. Hazardous Energy Control Plan (12.A.07) (If applicable)

A Hazardous Energy Control Plan meeting the requirements of section 12.A.07 of EM 385-1-1 is included as Attachment # to this Accident Prevention Plan.

i. Contingency Plan for Severe Weather (19.A.03)

A severe weather plan for floating plant is included as Attachment # to this Accident Prevention Plan.

j. Access and Haul Road Plan (21.I.10) (If applicable)

An access and haul road plan meeting the requirements of section 21.I.10 of EM 385-1-1 is included as Attachment # to this Accident Prevention Plan.

k. Diving Plan (30.A.13) (If applicable)

It is recognized that a Dive Plan is required for each separate diving operation. The Dive Plan will be prepared and submitted to the Government for review and approval a sufficient time in advance of each required dive. Diving will not occur until the Dive Plan is approved by the Government.

I. Plan for Prevention of Alcohol and Drug Abuse (Defense Federal Acquisition Regulation Supplement Subpart 252.223-7004, Drug-Free Work Force)

Name of Company' s plan for prevention of Alcohol and Drug Abuse is included as Attachment # to the Accident Prevention Plan. This plan meets the minimum requirements of DFAR 252.223-7004.

13. Detailed site specific hazards and controls will be provided in the activity hazard analysis (AHA) for each phase of the operation (each Major Definable Feature of Work as defined by the Contractor Quality Control Plan). The AHA' s will provide information on how the requirements of major sections of EM 385-1-1 will be met. Particular attention shall be paid to excavations, scaffolding, medical and first aid requirements, sanitation, personal protective equipment, fire prevention, machinery and mechanized equipment, electrical safety, public safety requirements, and chemical, physical agent, and biological occupational exposure prevention requirements. AHA' s will be prepared utilizing the format shown on page 4 of EM 385-1-1.

Attachments (*where applicable*)

Attachment # - Accident History

Attachment # - Safety Deficiency Tracking Table

Attachment # - Emergency Response Plan

Attachment # - First Aid and CPR certificates

Attachment # - Hazard Communication (HAZCOM) Program

Attachment # - Layout plans

Attachment # - Respiratory Protection Plan

Attachment # - Abrasive Blasting Plan

Attachment # - Permit-Required Confined Space Program

Attachment # - Severe Weather Plan

Attachment # - Plan for Prevention of Alcohol and Drug Abuse

CONTRACTOR QUALITY CONTROL PLAN CHECKLIST

Contract No. _____

Checklist Item	Included ?			Found on Page(s)
	Yes	No	N/A	
1. A description of the quality control organization, including a chart showing lines of authority.				
2. Acknowledgment that the CQC staff shall implement the three phase control system for all aspects of the work specified.				
3. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.				
a. CQC System Manager				
b. Alternate CQC System Manager				
c. Individual(s) responsible for certifying that all submittals are in compliance with the contract requirements.				
d. Individual(s) responsible for executing contract modifications.				
e. Individual(s) responsible for certifying payment requests.				
f. Others				
4. Documentation that the CQC System Manager and Alternate CQC System Manager have completed the course entitled "Construction Quality Management For Contractors".				
5. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the contract.				
6. The CQC System Manager shall issue letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities. Copies of these letters shall also be furnished to the Government.				
7. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents.				
8. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test.				
9. Name and address of proposed laboratory facilities to be utilized.				
10. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.				
11. Procedures for tracking construction deficiencies from identification through acceptable corrective action. These procedures shall establish verification that identified deficiencies have been corrected.				
12. Reporting procedures, including proposed reporting formats. At minimum, the QC Report presented in the contract specifications shall be utilized.				
13. Proposed subcontractors and the associated activity of work which the subcontractor will perform.				
14. A list of the definable features of work.				
15. Contract specific items				